The Robert Wood Johnson Foundation Annual Report 1976

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The Robert Wood Johnson Foundation



The Robert Wood Johnson Foundation is an independent philanthropy interested in improving health care in the United States. It was established in 1936 by General Robert Wood Johnson, who died in 1968.

Robert Wood Johnson devoted his life to public service and to building a family-owned business into a major international corporation. An astute businessman, a statesman, soldier, and patriot, General Johnson devoted much of his life to improving the world around him. He had a tenacity of spirit that enabled him to accomplish many of his goals,

but he also planned for the long-range fulfillment of other objectives that could not be achieved in one man's lifetime.

Despite the intensity and determination he displayed in his role as a business leader, General Johnson had a warmth and compassion for those less privileged than he. He was always keenly aware of the need to help others, and during his lifetime, he helped many quietly and without fanfare.

The true measure of General Johnson's deep concern for the needs of others was his decision to leave virtually his entire estate to The Robert Wood Johnson Foundation. With the settlement of this bequest in December, 1971, the Foundation began its transition from a local institution active primarily in New Brunswick, New Jersey, to a national philanthropy.

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The president's statement

Progress in American health affairs: things do change—and sometimes for the better

When a group of people or an organization works hard on a problem confronting society, it becomes important to reexamine the situation at reasonable intervals to see if the problem is still there. It is all too easy to get so involved in the process—the demands of day-to-day tasks-that shifts in the magnitude or the nature of the problem under attack can escape notice. There is a risk of overrunning one's goals or missing the target because the issue has changed in size or location.

Five years ago The Robert Wood Johnson Foundation started its national efforts as a philanthropy to improve the medical care of Americans. In our first report in 1972, I made the point that the medical care system is not static, but something that is undergoing constant change. However, it was our conviction that the rate of improvement in health affairs could be catalyzed and accelerated if we could diagnose and identify ideas "'whose time has come'-or more

accurately 'can come' " and move to support them.

For many reasons detailed in previous annual reports, we elected to focus our efforts on three particular problems which appeared of pressing national importance at that time. These were the difficulties that many people were experiencing in obtaining prompt and appropriate general medical care, the quality of that care, and the absence of sound objective information on which to base public policy decisions regarding health matters. Specifically, we stated as our mission: "The encouragement of institutions and individuals who are attempting to improve the American health care delivery system to make high quality care more available to non-hospitalized Americans." Put more simply, we hoped to make ambulatory care a higher priority for the health institutions and professions and for many of the other people working on health matters.

Recently, The Robert Wood Johnson Foundation staff has taken stock of what has been happening in the areas to which we have been directing our attention. To try to quantify the magnitude of the changes, we have made broad use of national data and evidence accumulating from studies we and others have supported. We have also tried to assess what these changes mean in the quality of people's lives.

The findings are exciting and encouraging. Things are getting better. In most instances the evidences of progress I shall cite have been poorly recognized, even by those who are health professionals and health

planners. These improvements deserve more attention. They show that this nation is bettering the delivery of medical care to its citizens. In our pluralistic, sometimes groping American way, multiple groups, institutions and individuals have all been working to strengthen ambulatory care services, and they have made real progress. There are more health professionals involved in ambulatory care. Institutions of many sorts have shifted their focus to out-of-hospital issues. Medical services are more available to those who are poor or from minority backgrounds. Compelling evidence is accumulating showing that planned organization of medical services for certain groups with special kinds of problems can reduce both the burden of illness and its costs.

In parallel with these changes in medical care, certain broad indicators show that the health status of Americans is improving. I am not suggesting that these indications of improving American health have been a result of better medical care, but it is most satisfying to see evidence that they are both going in the same direction.

So this is a message of hope and encouragement. The evidence to be presented has strengthened our convictions stated quite idealistically in our first Annual Report of 1972: "That by the conscious and thoughtful voluntary actions of people, it is possible to better the human condition." This 1976 report indicates that our American system—composed of multiple, independent institutions and people going at problems in their own way-does work, and perhaps better than we are sometimes wont to recognize. We are generally a terribly self-critical nation. But here the message is: When multiple groups of Americans decide to attack a problem, they can really make a difference.

1972 Revisited

It is worth recalling that 1972 was a period of considerable national discouragement. We were enmeshed in a protracted war. Many had doubts about the ability of our pluralistic American system to cope with complex domestic issues which marred the quality of American life. The vision of the Great Society of the 60's had waned. The enormous expenditures directed at social problems during that periodwithout apparent improvements-had provoked widespread disillusionment and discouragement. This led to the belief in the minds of many that planned efforts to improve conditions rarely produced satisfactory results.

The field of health and medical care had been one of great activity during the dozen years preceding our entry into the field. Between 1960 and 1973, total national health expenditures increased almost fourfold. Philanthropic contributions to health and medical activities had increased similarly during this period. Most compelling, public expenditures for health and medical care had escalated an alarming near sevenfold. Indeed, health expenditures for the first time since World War II exceeded expenditures for defense, and many were asking whether the vast amounts

of monies directed toward either sector were in any way guaranteeing an improved or more secure life. Despite the enormous expenditures in health, there was the uneasy feeling at large that things were getting worse, and "the health care crisis" was much in the discussions of the day. Medical care seemed increasingly hard to come by for all people, be they rich, poor, or middle-class. Shortages of health professionals who rendered general medical care services seemed to be growing worse. Institutions-hospitals, academic medical centers, and public health facilities-did not appear responsive to the unmet needs, and horror stories about the unavailability of care or its crippling costs for individuals were much in the public eye. The conventional wisdom, as reflected by many writers of the times, was that increasing expenditures in medical care had not led to improved health, and cynicism regarding the ability of our society and our institutions to better the situation was widespread.

Things do change

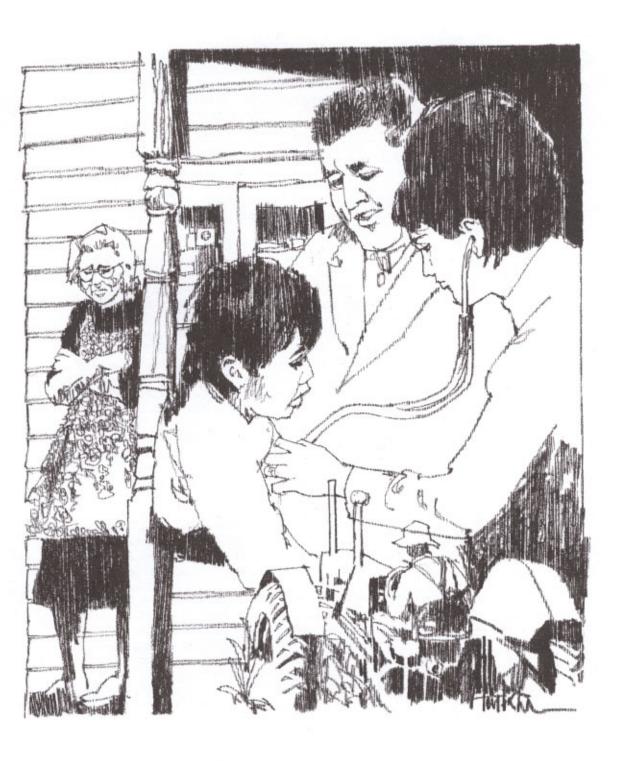
So what is the reality today? What has happened in the field of health and medical care affairs during this five years? Are things growing better or are things growing worse at the close of America's 200th birthday?

The answer—and it can be unequivocal—is that things have changed and changed for the better. Indeed, in some sectors remarkable improvements have taken place. I will focus principally on the improvements occurring in problem areas of particular interest to this foundation, but some intriguing gains in the health of Americans which have received little attention will also be noted. Before doing so, it is worth stating again the obvious—that we as a foundation have been only a very small actor on this very large stage, and on it for only a short time. Nevertheless, the fact that large amounts of human effort by many have led to these changes is gratifying, and reinforces our conviction that our initial selection of goals and strategies was appropriate.

Changes in access to medical care

Is physician care still as difficult to come by as it was five years ago? The answer appears to be a qualified "no." Data on the number of visits people make to doctors do not tell us all we wish to know. For example, they tell us nothing of what went on in the encounter. However, they do offer one narrow window on how medical services are deployed. Between 1969 and 1975, physician visits per person per year rose by 19 percent. This suggests that physicians are more accessible. There are also indications that physician care is more available to those who need it most. Of principal concern in 1972 were the inequities in the availability of care for those who were poor or black or located in inner-city centers of poverty or isolated rural areas. What has happened here?

In the mid-1960's, people with low incomes saw physicians 16 percent less frequently than did those with high incomes. This was of



concern because of the abundant evidence that serious illness is more common among the poor. But by 1975, this inequity in the availability of physician services had been largely eliminated. Indeed, people of low income are now seeing physicians slightly more often than those of high income, averaging six visits per year compared with a national average of about five. This suggests that we have found ways of getting low-income people into the health system, and at levels of use which seem more commensurate with their larger number of health and medical problems. That these figures can be applied fairly broadly is also suggested by the sharp decrease in the number of Americans not seeing a physician during a particular year. In 1970, 32 percent of Americans fell into this category. This had dropped to 23 percent by 1976.

These overall changes in those receiving physician attention were also paralleled by the improvement in the availability of physicians to minority groups. In 1970, black Americans saw physicians 12.5 percent less often than did white Americans. Again, by 1976, this gap had largely disappeared: blacks, also a group with greater illness burdens, were, as a group, seeing physicians as frequently as whites.

Gratifying though they are, these figures on physician utilization need to be interpreted with caution. Obviously, if one believes that better medical care can improve the health and welfare of Americans, getting to medical care is a necessary prerequisite for all that follows. But there is also evidence that some of these new physician visits are not what we would hope them to be. The "Medicaid Mill" scandals, the overcrowding of hospital emergency rooms are cases in point. We need much more solid evidence that a physician visit yields better medical care than those bare figures tell us. But the point remains that great efforts have been made to improve access to care, that change has occurred, and it seems to be change in the proper direction.

Decreasing shortages of health professionals

And what of the crisis in the production of health professionals? In the early 1970's much criticism was being leveled at academic institutions because of their failure to increase the number of young men and women being trained as doctors, dentists, nurses and other health professionals. In contrast to the foregoing, here the changes can be readily confirmed. Between 1970 and 1976, medical schools have increased the number of physicians in training by 50 percent. In contrast to the 40,000 Americans in medical schools in 1970, almost 60,000 will be in training during 1976. Of equal importance, residency opportunities for those interested in generalist careers are rapidly expanding, and American-trained physicians are filling these. Nursing schools have also increased their enrollment by an impressive 52 percent. Further, there has been increasing emphasis on broadening and upgrading the clinical training of the nurse graduates to give them

larger and more direct patient care responsibilities. The number of dentists emerging yearly is almost 25 percent higher than in 1970, and a number of dental schools are training their graduates to serve groups of people with special dental care needs—particularly the physically

and mentally handicapped.

New kinds of health workers—notably physician's assistants are also entering the field in rapidly increasing numbers. In 1972 approximately 400 individuals were emerging from training institutions. In 1976 many additional institutions have established new educational programs for physician's assistants, and 1,500 will enter health care careers this year.

All of these changes in health manpower suggest institutional responsiveness of a high order. There are even some indications that we may be at hazard of overshooting projected national needs for health

professionals.

Better organized care for special groups at special risk

During this five-year period a number of programs—some supported by the Foundation, a number supported by others—have convincingly demonstrated that the organization of medical care for special groups with special problems can significantly reduce mortality, the burdens of chronic illness, or the needs for hospitalization. Let me illustrate by three examples:

1. Improving the outcome of pregnancy

The evidence mounts that properly organized and appropriate care of pregnant women before and at the time of delivery can make significant inroads on infant mortality, birth defects, and maternal mortality. In most instances such care need not be complex or expensive. During the last ten years, the Frontier Nursing Service in Kentucky has succeeded in reducing infant deaths in a poverty stricken, remote, rural area by an organized system of nurse and midwife care. In fact their infant mortality rates are now below those in the rest of the state. In a similar fashion, a Georgia study showed that the introduction of a wellorganized maternal and infant care program was successful in sharply reducing prematurity and in dropping infant mortality by 40 percent in areas served when compared with similar counties in which no such services were available. Both of these programs also succeeded in significantly reducing maternal mortality as well.

Reducing illness in children

In a similar vein, well-organized programs for youngsters have clearly decreased the days of illness and the use of expensive hospital facilities in a number of areas where planned programs have been put in place. The Boston Pediatric Center reduced the need for hospitalization of children by 36 percent in just two years following the introduction of a comprehensive program of child care. A similar effort carried out in a



Rochester neighborhood health center led to a 50 percent reduction in the number of hospital days required for children participating in the project, and the amount of time children were ill was also dramatically reduced.

Let me try to make these figures come alive. A comprehensive health program for children established in a poor section of east Baltimore produced a 60 percent reduction in the incidence of rheumatic fever among children in the program. Translated into human terms, in the five years prior to the introduction of the program, 51 children in the target area developed rheumatic fever with its very significant incidence of crippling heart disease. Only 11 were so afflicted during a similar period while receiving well-organized care. This means 40 children will have been spared possible heart disease of a kind which used to kill many in their young adulthood.

Organized care of chronic disease

Other studies have shown that thoughtfully organized care for certain specific types of chronic illness can again make a heartening difference in human misery. An impressive study emanating from Memphis-a joint effort of the local health department, the City of Memphis Hospital, and the University of Tennessee-deserves wide attention.

Facilities to care for diabetics were decentralized for ready accessibility and staffed by specially trained nurses guided by protocols. Over a two-year period, the costs of ambulant care for diabetics were reduced to 1/5 that given in the medical center facilities. At the same time there was a 42 percent reduction in hospitalization required for the diabetic individuals receiving care. Episodes of diabetic acidosis, serious infection, and problems relating to peripheral vascular disease were greatly reduced by a plan that introduced a simple, straightforward and humane system of care.

The improving health of Americans

Have these changes in the apparent availability of physicians, the increased numbers of health professionals, and better organized programs to manage special groups been paralleled by any changes in health statistics? Again, the answer is yes.

First, although it has been largely ignored, death rates of Americans have been falling for the last seven years when adjusted for our aging population. From the mid-1950's until 1968, the downward trend in age-adjusted death rates leveled off and fluctuated within a narrow range. However, between 1968 and 1975, they dropped by almost 14 percent. This rate of decrease is as high as we have seen any time this

century.

Similarly—and again without much heralding—infant mortality rates have also been continuing to decline. From 1970 to 1975 they fell by over 19 percent-from 20 to 16.1 per 1,000 live births-the lowest ever recorded in the United States. This seems of particular interest because the conventional wisdom of 1972 was that we had gone about as far as we could go toward reducing neonatal deaths. While family planning activities are an important component of this change, this is not the whole story, and infant mortality rates are continuing to fall. The last figures available indicate a range of 15.7 for the 12-month period ending in March 1976. Lest we become too self-congratulatory about this, it should be pointed out that similar improvements in infant survival have occurred in most industrialized Western nations. Thus, the relative ranking of the United States within the family of nations has not changed materially.

Accompanying these changes in infant mortality, national maternal mortality dropped an impressive 50 percent-from 21.5 to 10.8 per 100,000 live births between 1970 and 1975. Our foundation's national program, which is helping eight regions establish planned systems for progressive perinatal care, was developed on the basis of such evidence. These efforts should yield yet further data on this subject in the years

to come.

Some other indicators of improving health statistics should also receive broader attention. The story of coronary heart disease, our leading cause of death, is a fascinating illustration. After its initial

recognition in 1912, deaths reported from coronary artery disease increased steadily throughout the 30's, the 40's, and the 50's. It is generally believed this increase has continued relentlessly. Indeed, a recent national television program was titled "Heart Disease-a 20th Century Epidemic."

In point of fact, the increasing toll of deaths due to coronary artery disease peaked in 1963, although this was not appreciated or well recognized until almost ten years later. During the seven-year period from 1968 to 1975, death rates due to coronary heart disease have fallen 18 percent. Not only is a fall occurring, but it seems to be accelerating. Here again is a quite dramatic change. The reasons for the decline are not known. Is it more exercise? Is it less use of saturated fats? Is it declining use of cigarettes? (There has been a 34 percent fall in the incidence of smoking among young men between 21 and 24 years of age.) Is it more equanimity? Better medical treatment?

While we are all much aware of the rising death rates caused by cancer, 10 of the first 15 causes of U.S. deaths have declined. Thus, downward trends in deaths due to strokes, diabetes and peptic ulcers, as well as coronary artery disease, have also been taking place. That we do not know the reasons for these improvements is frustrating, but the changes should not be ignored. These shifts do not suggest an overall worsening health situation for those who live in the United States. We must be doing some things right, and there seems room for some cautious optimism.

These encouraging evidences of progress also illustrate another point—how subtly change can occur without our recognizing that it has taken place! Often there is a long period of intense effort and considerable turbulence with very little apparent effect, and discouragement begins to mount. Then all of a sudden, changes appear to take place almost overnight without our really knowing why. But the message is clear. Access to health care is improving, more institutions and people are involved, and we are beginning to achieve equity in access to medical services for the poor and poor minority people. In parallel with this, certain statistics regarding the health of Americans are showing improvement. It is important to be aware of these changes in planning for a healthier future.

The problem of rising health care costs

To my sorrow the problem which triggered public concern about health and medical care remains very much with us. The costs of health care continue to rise. Here the "crisis" continues. Since the 1960's, escalating health care costs have been one of the major problems facing the nation. They remain so. During the last decade, we spent more for health and medical care than we did during the entire previous 35 years. An average hospital stay cost \$311 in 1965. It was \$1.017 in 1975. With health expenditures per family rising from \$567 in 1960 to \$2.188

in 1975, this has proved a steadily growing burden for most American families. If they cannot be contained, costs may prove to be the Achille's heel of this country's arrangements for health services.

Agenda for the future

Do all these encouraging changes in the area this foundation has selected for attention mean we should shift our focus and our mission? The answer is, I believe, both yes and no. Clearly there are some areas-simply additions of more people to the health manpower pools, for example-which do not need our assistance. On the other hand, there are some special subsets in our population that are continuing to have very real problems in obtaining the medical care they need and deserve.

While as a nation we have reduced the problems the poor have experienced in obtaining medical attention, we have not, to date, done as well in eliminating the difficulties which rural citizens experience in getting medical care. In 1970 urban residents saw physicians three percent more frequently than did those of rural areas. By 1976 the number of physician visits made by rural dwellers had actually fallen, leaving a gap of 12 percent. Part of this gap continues to relate to the disparity in health care financing between urban and rural areas. Both public and private health insurance programs continue to make it financially more attractive for health professionals to remain in urban communities.

In addition there have been disappointingly small gains for two groups-children and the elderly in low-income categories. Both have lagged far behind the rest of us, and we have not, as a nation, succeeded in moving them into the mainstream of American medical care.

The reasons for this are the obvious ones. Both children and those who are old need outside help to get into the system. They are dependent on others. Consequently, it requires special programs and special organizational structures to assure that available services are brought to them, or they to the services. The profound, often lifelong impact of neglected health problems in children and the cost burden such neglect places on society, are too well known to need detailing here. The kinds of evidence described which shows that specially designed systems can both reduce costs and the burden of illness for children make us feel that new approaches to render their care and that of other special groups more effective deserve our encouragement.

There is also the problem of the "quality" or the "texture" of the patient-health professional interaction. It varies widely in its promptness, its appropriateness, its dignity, and its human caring qualities. A colleague recently paraphrased Gertrude Stein in describing the situation when he said in frustration, "A physician visit is not a physician visit is not a physician visit." The vast majority of the well-to-do

receive their medical care in private settings-in the physician's office, in the home, or through telephone contact with their doctor. The poor receive a much greater proportion of their care from hospital out-patient departments and health clinics. Indeed, during the five-year period we have been examining, there was a 40 percent increase in out-patient visits to hospitals. The quality of these interactions needs more attention to make them more appropriate and more people-oriented. The "physician visit" of an indigent mother to an overcrowed out-patient department with long waits, hard benches, shifting medical personnel, and impersonal care is not the same "physician visit" which I or my family make to our doctor.

If these "physician visits" are to improve, it is clear that this nation needs to change many of its ways of delivering out-of-hospital care. There is considerable evidence which suggests that better organized ambulatory care will not only lead to more responsive patient services, but will also effectively contain medical care costs. But here we are faced with a troublesome national paradox. While as a nation we finance expensive hospital care through a variety of insurance and public assistance programs, we have not developed satisfactory ways to cover the costs of ambulatory care which might eventually put the rein on escalating medical expenses for most people. Thus, a major question is how can we continue to encourage institutions to expand their capacity to provide efficient ambulatory care services-and it is clear that they are trying-in a financial climate which is simply not adequate to sustain their new program development? The development of better answers to this question remains a major and obvious national challenge, which in the future must be met with health financing programs which are less inflationary than those of the past.

The foundation's role

So what is the proper role for foundations-and, more particularly, for this foundation-in the health scene? Basically I have come to believe that we can do two things. First, we can identify an issue or a problem before a national consensus regarding it has emerged, and then serve as a focal point for those wishing to work on it. We can indicate our willingness to listen to and encourage those who are thinking about it, and fund a few of the hundreds who wish to participate in moving toward its solution.

We have said before that one of the privileges of a foundation is to be able to focus on a problem perceived by many to be serious, and then stick with it long enough to see if the attempted solutions gain acceptance and are introduced into the mainstream of national activity. We can let people know we are also concerned, and encourage and assist those who are trying to put in place programs of potential benefit to the public so that these programs can be examined, prodded and pushed to see if they are workable.



Secondly, we can in this way initiate a "process." We can allow institutions and professional groups and individuals to address a particular problem and gain experience in working with it in ways that

simply cannot be done by government.

Clearly a nation cannot avoid working on a critical problem until all the knowledge and techniques for its solution are available. But responsible government leadership must proceed with caution in areas where it lacks adequate knowledge and experience. Solutions to social problems need early testing, and pluralistic and varying approaches need an opportunity to be tried and seen. The time for learning and experimentation and gaining experiences comes before national consensus and decision making.

Here I believe is our foundation's raison d'être. Clearly, our role can be to help people build new programs and try new approaches and new mixes of talent to solve troublesome problems so that some signposts are in place for broader developments. We entered the health scene in a period of national discouragement. By indicating that we stood ready to assist those who wished to try some new ways to improve general medical care, I hope we gave some help to furthering a process which

seems to be moving in ever-widening circles.

During this five-year period, we have made almost 900 grants. Approximately 145 of them have gone to individuals and groups conducting objective research studies to develop answers to many questions: what is the extent and the nature of the problems people face in finding medical care? Who are those who have special problems? Which physicians spend what kind of time managing general ambulatory medical care problems? These and other studies are developing the kinds of information required to make the sorts of assessments I have reported here. These and many other studies will, I believe, help sharpen our perceptions of where our foundation efforts will be most helpful and will hopefully contribute to a better knowledge base for those who must make larger scale decisions about health affairs.

About 400 of our grants have gone to educational institutions wishing to experiment with new ways of training health professionals for generalist medical care roles. New programs for residency training of primary care physicians, for educating new kinds of pediatricians, dentists, nurse clinicians, physician's assistants, and other types of health practitioners are now turning out differently trained people for careers

in medicine and health.

About 350 of our grants have gone to groups and institutions that are developing and gaining experience with new ways of organizing and delivering care. These experiments are helping answer questions like some of the following: can regionalization of emergency services render them more effective? Can one improve the health and reduce the amount of hospitalization required for children? Can new ways of organizing care for pregnant women reduce infant or maternal mortality? Can one

create programs for the urban poor, for the rural poor, for underserved minority groups that have a chance of becoming financially viable?

We have tried to involve the broadest possible mix of people in these efforts. Grants have been made to universities and junior colleges, to hospitals and free-standing clinics, to government groups and community organizations. I believe our funds have given many of these groups and institutions an opportunity to re-examine what they are doing and test new ways for fulfilling their objectives.

It is quite clear from our experience to date that the diverse groups who make up our society can make progress. There remains a large and important agenda ahead, but our five years of experience has validated our belief that multiple groups focusing on the same problem can produce a variety of imaginative and encouraging potential solutions to larger national problems. What is happening seems an example of how independent people and groups can see national priorities and respond to them.

Obviously, the improvements in health affairs I have cited cannot be directly attributed to the activities of this foundation, but as individuals who have staked their all on this area, we feel some considerable satisfaction from the evidences of improvement. One of my colleagues recently put it this way: "When the winning car crosses the finish line at the Indianapolis 500, and you see the small sign advertising your spark plugs on the car's side, you know the spark plugs didn't win the race, but you're aware they were there and hope they played some role in the victory."

To help identify and describe a problem, to serve as a focal point, and to help groups become involved in the process of improving the personal medical care system, has clearly been our role. We are encouraged by what is happening. As this country looks forward to its next 100 years, it is my hope that we can discard the feeling that our social institutions are unable to cope with national problems. In the area in which we have been working, they can, and they have, and they are!

David E. Kageres

The 1976 grant program

During 1976 the Foundation made 258 grants, committing a total of \$43.6 million to groups and institutions using varying approaches to make high quality, ambulatory health services more broadly available.

These grant funds were distributed among the three objectives of the Foundation as follows:

- Programs to increase access to general medical care received \$35.2 million, or 81 percent of the 1976 funds.
- Programs to improve the quality of care received \$4.4 million, or 10 percent.
- Programs to improve the formulation of public policy in health affairs received \$3 million, or 7 percent.

The remaining \$1 million, or 2 percent of the funds granted in 1976, went to a variety of charitable institutions and programs in the New Brunswick, New Jersey area where the Foundation maintains an historic and continuing interest.

Viewed from another perspective, the \$43.6 million was allocated to the following types of activities:

- \$24.4 million, or 56 percent, went to institutions and groups developing and putting in operation new or improved ways to deliver ambulatory care services.
- \$10.8 million, or 25 percent, went into the education and training of various kinds of health professionals needed to plan, staff, and manage such services.
- \$7.9 million, or 18 percent, went to support independent evaluation of certain

Foundation programs, for highly targeted health care research, and to groups developing data useful to those formulating and evaluating public policy.

Of the approximately 1,800 requests for support received by the Foundation in 1976, 895 addressed problems within the Foundation's program objectives. It would have required \$173 million to fund all of these efforts. The charts on the facing page show the distribution of 1976 requests and grants by the Foundation's objectives and by types of activities funded. As shown there, the Foundation's resources could only provide about 25 percent of the funds requested. The chart on page 28 shows how the Foundation's funds have been distributed nationally over the past five years.

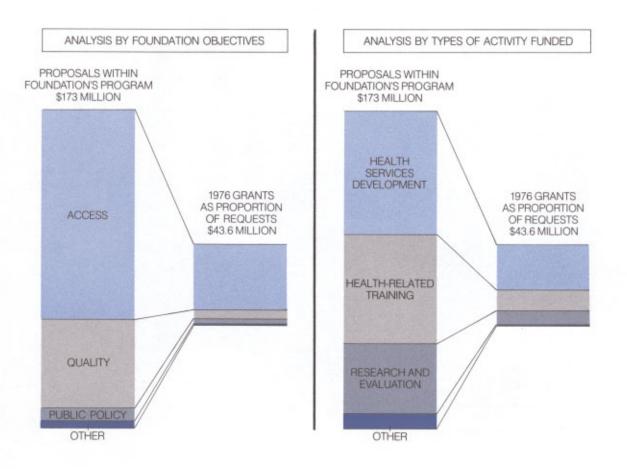
Program information

In each of the Foundation's four previous annual reports, this section has included a brief description of a representative group of grants, but it has not always been possible to do this in sufficient detail to satisfy the interested reader, and many grants were of course never described. This year, the descriptive part of this section will be limited to major developments in the 1976 grant program. However, two other, more comprehensive reporting arrangements have been instituted.

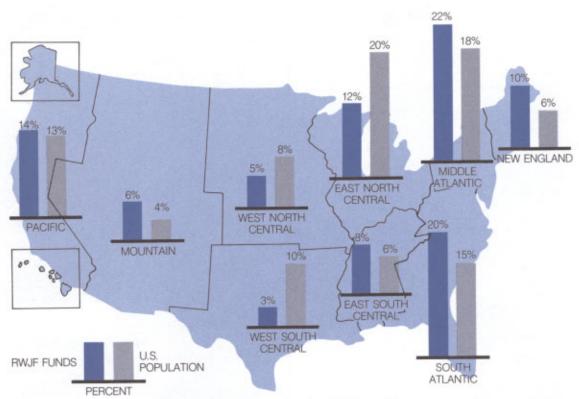
First, for each grant made in 1976, a concise description of the activity being supported—a Program Summary—is now available. The titles of these grants are printed in blue in the list beginning on page 46.

If you would like to receive a particular Program Summary, address your request to: Office

Analysis of 1976 proposals and grants



1972-1976 Grant funds by geographical region compared to population



Source of population data: U.S. Department of Commerce, Statistical Abstract 1973.

of Information Services, The Robert Wood Johnson Foundation, P.O. Box 2316, Princeton, New Jersey 08540. Each request should include the title of the grant, the institutional recipient, and the grant ID number.

In addition, during 1977, the Foundation will begin issuing Special Report, a nonperiodic publication describing the outcomes of activities supported by particular grants that appear to be of broad interest because of findings uncovered or the issues they illustrate.

Major developments in the 1976 grant program

This year, the American Fund for Dental Health, with Foundation support, launched a national program to test the thesis that tooth decay-the most common dental problem of children-can be markedly reduced with the application of rather simple, well-tested preventive procedures. Different combinations of such measures as the application of fluorides and sealants will be provided to different groups of children in 10 communities. Over the next 5½ years, approximately 20,000 children will take part in the Program. An independent evaluation will measure the costs and the relative degrees of protection afforded by each combination of preventive measures.

In 1976, the Foundation also renewed its support for several other child health programs -for example, the health component of the Brookline (Massachusetts) Early Education Project. Known as BEEP, this program brings parents and the public school system together in sharing responsibility for the health and early educational development of infants and preschool children.

Primary care group practices

During 1976 thirty-one hospitals in 27 states received grants under the Foundation's national program to assist community hospitals and their medical staffs develop primary care group practices. Before its conclusion, approximately 50 hospitals-hopefully including one hospital in almost every state-will receive grants under this program, as described in the 1974 and 1975 annual reports.

First grants also were made to establish community-sponsored group practices under the Rural Practice Project, another of the Foundation's national programs described in the 1975 annual report. Recipients were newly formed groups in Pacolet, South Carolina; Paoli, Indiana; and Plainfield, Vermont.

Inner-city areas continued to present difficult access-to-care problems, and the Foundation is assisting a gradually increasing number of nonprofit groups attempting to overcome them. In 1976, an existing family health center operated by the East Central Citizens Organization in Columbus, Ohio received funds to expand medical services in what had been a doctorless city neighborhood of 16,000 people.

A 1976 grant to the American Group Practice Foundation will support a series of regional training programs to prepare physicians to manage primary care group practices like those being established and expanded with Foundation assistance.

In an effort to upgrade the quality of care offered ambulatory patients, the Joint Commission on Accreditation of Hospitals is expanding the scope of its review and accreditation programs. With Foundation support the Commission will complete planning and pilot testing, and begin surveying selected group practices, health centers, and other ambulatory care facilities that wish to be reviewed and accredited.

Training for primary care

To expand the cadre of well trained physicians offering general medical services, 10 medical schools are using the Foundation's

funds to expand training in ambulatory care in internal medicine and pediatric residency programs. With a 1976 grant, the University of California, Los Angeles established such a pri-

mary care residency program.

Nurse practitioners working with physicians in ambulatory care settings have shown they can meet the needs of many patients seeking general medical care. However, because of shortages of qualified nurse faculty, only a few nursing schools have introduced nurse practitioner training as an option in their professional curricula. To aid in reducing this shortage, the Foundation announced in 1976 its Nurse Faculty Fellowships Program. In each of three years, beginning in 1977, 20 nursing school faculty members selected from nominations by nursing schools throughout the country will receive one-year fellowships to prepare them for teaching careers in clinical primary care.

In 1976 four nursing schools (the University of Colorado, Indiana University, the University of Maryland, and the University of Rochester) were awarded grants to train the nurse faculty fellows. A grant was also given to the Vanderbilt University School of Nursing

for administration of the Program.

Even though physician's assistants, like nurse practitioners, have shown they too can assume significant primary care responsibilities with physician supervision, many students of health manpower production have been concerned because training programs for these two new health professions are developing quite independently of each other. Joint training offers the possibility of cost savings and an opportunity to study the similarities and differences in clinical skills and approaches to patient management that characterize these two new professions. Such a cooperative venture has been instituted with Foundation support by the University of California, Davis and Stanford University.

Student aid

Of the 258 grants made in 1976, 115 were the result of a one-year extension of the Foundation's Medical Student Aid Program, which provides scholarship and loan funds to all U.S. medical and osteopathic schools. These funds are designated for certain groups that evidence suggests opt more frequently for generalist medical careers—women students. students from rural backgrounds, and students from the country's black, Indian, Mexican-American, and U.S.-mainland Puerto Rican populations.

Several other grants were made to institutions that are increasing the number of minority health professionals by offering special study programs to help undergraduate students qualify for admission to medical and dental schools. This year the University of Mississippi Medical Center and Morehouse College became the sixth and seventh institutions to receive support for such a program. This Mississippi program will be conducted in association with five predominantly black colleges in the state: Alcorn, Jackson State, Mississippi Valley State, Rust, and Tougaloo.

Program evaluation

From the outset, whenever feasible, the Foundation has funded independent evaluations of its major programs. Four institutions received support for such studies in 1976: the University of Texas, to evaluate the Rural Practice Project; the Rand Corporation, to evaluate the National Preventive Dentistry Program; the University of California, San Francisco, for a comparative study of primary care residency programs; and the University of California, Los Angeles, to plan, develop, and conduct an evaluation of a school health program.

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Financial statements

Introduction to statements

The annual financial statements of the Foundation appear on the following pages. A listing of securities other than Johnson & Johnson common stock held by the Foundation at December 31, 1976, appears on pages 41 through 45, and a summary of grants appears on pages 46 through 99.

The Foundation authorized \$43,582,909 in grants in 1976, compared with \$54,561,824 in 1975. The reduction was due in part to the provision of the Tax Reform Act of 1976 which stabilized the minimum

payout requirement at 5% of average asset value.

Investment income for 1976 was \$25,411,602, compared with \$25,118,814 for 1975. Deductions from this income for direct investment expenses and Federal excise tax were \$1,178,403 in 1976 and \$1,156,758 in 1975. The total of grants net of refunds and cancellations, plus administrative expenses incurred in 1976, was \$46,578,753, or \$22,345,554 in excess of the net investment income for that year. The excess of grants and administrative expenses over net investment income in 1975 was \$32,643,613, and the cumulative excess of grants and expenses over net investment income since 1971 amounts to \$154,536,312.

The size of the staff has expanded rapidly during the past five years. In 1976 staff development reached completion, and the staff is now projected to be adequate to plan, administer and evaluate the full program on a long-term basis. To provide the space required for its operations, the Foundation also completed and occupied its own headquarters building in Plainsboro Township, New Jersey. The new quarters and final additions to program staff contributed to the increase in administrative expenses in 1976 over 1975.

At January 1, 1976 the Foundation owned 9,089,123 shares of Johnson & Johnson common stock. During the year, 278,037 shares were divested, leaving a balance in the portfolio of 8,811,086 shares at December 31, 1976. The assets of the Foundation at December 31, 1976, based on quoted market values amounted to \$957,916,251, compared with \$1,058,047,886 at December 31, 1975.

> William R. Walsh, Jr. Treasurer

Opinion of Independent Certified Public Accountants

To the Trustees of The Robert Wood Johnson Foundation:

We have examined the statement of assets, liabilities and foundation principal of The Robert Wood Johnson Foundation as of December 31, 1976, and the related statement of investment income, expenses, grants and changes in foundation principal for the year then ended. Our examination was made in accordance with generally accepted auditing standards and, accordingly, included such tests of the accounting records and such other auditing procedures as we considered necessary in the circumstances. We previously examined and reported upon the financial statements for the year ended December 31, 1975.

In our opinion, the aforementioned financial statements present fairly the financial position of The Robert Wood Johnson Foundation at December 31, 1976 and 1975, and the investment income, expenses, grants and changes in foundation principal for the years then ended, in conformity with generally accepted accounting principles applied on a consistent basis.

Coopers & Lybrand

Newark, New Jersey, February 4, 1977.

The Robert Wood Johnson Foundation Statement of Assets, Liabilities and Foundation Principal at December 31, 1976 and 1975

| Assets | 1976 | 1975 | | | |
|--|---------------|---------------|--|--|--|
| | | | | | |
| Cash | \$ 177,341 | \$ 160,649 | | | |
| Securities (at cost or market value on dates of gifts) (Notes 1 and 2): | | | | | |
| Johnson & Johnson common stock 8,811,086 shares in 1976, 9,089,123 shares in 1975 (quoted market value \$687,264,708 and \$815,748,789) | 252,584,419 | 260,554,822 | | | |
| Other corporate common stocks (quoted market value \$66,970,796 and \$61,455,537) | 64,099,178 | 73,554,346 | | | |
| Fixed income securities (quoted market value \$197,327,464 and \$175,579,433) | 195,770,830 | 184,634,125 | | | |
| Land, building, furniture and equipment at cost, net of depreciation (Note 1) | 6,175,942 | 5,103,478 | | | |
| (tiote 1) | \$518,807,710 | \$524,007,420 | | | |

Liabilities and Foundation Principal

| * | | | | | | |
|---|----|----|----|----|----|---|
| | 12 | bi | 11 | ۲ı | PE | |
| - | m | U. | | | - | - |

| | \$518,807,710 | \$524,007,420 |
|----------------------------|---------------|---------------|
| Foundation Principal | 418,103,371 | 423,902,136 |
| Total liabilities | 100,704,339 | 100,105,284 |
| Federal excise tax payable | 1,302,632 | 1,155,744 |
| Unpaid grants (Note 1) | \$ 99,401,707 | \$ 98,949,540 |
| | | |

See notes to financial statements, page 40.

The Robert Wood Johnson Foundation Statement of Investment Income, **Expenses, Grants and Changes in Foundation Principal** for the years ended December 31, 1976 and 1975

| V | | |
|---|---------------|---------------|
| Investment income: | | |
| Dividends | \$ 11,861,351 | \$ 10,311,959 |
| Interest | 13,550,251 | 14,806,855 |
| | 25,411,602 | 25,118,814 |
| Less Federal excise tax and direct investment | 1 170 402 | 1 156 750 |
| expenses | 1,178,403 | 1,156,758 |
| | 24,233,199 | 23,962,056 |
| Expenses: | | |
| Salaries, employee benefits and payroll taxes | 1,721,604 | 1,364,340 |
| Professional services | 280,989 | 297,920 |
| Contract expenditures for the development and | | |
| administration of special programs | 359,057 | 223,548 |
| Building occupancy expense | 259,262 | 110,133 |
| Meeting and travel expenses | 218,036 | 186,646 |
| Other administrative expenses | 671,429 | 389,178 |
| | 3,510,377 | 2,571,765 |
| Income available for grants | 20,722,822 | 21,390,291 |
| Grants | 43,068,376 | 54,033,904 |
| Excess of expenses and grants over investment | | |
| income | (22,345,554) | (32,643,613) |
| Additions to Foundation Principal: | | |
| Net capital gains on sales of securities (Note 3) | 16,058,745 | 11,568,220 |
| Less related Federal excise tax | 295,267 | 159,384 |
| | 15,763,478 | 11,408,836 |
| Contributions received | 783,311 | 686,168 |
| | 16,546,789 | 12,095,004 |
| Net decrease in Foundation Principal | (5,798,765) | (20,548,609) |
| Foundation Principal, beginning of year | 423,902,136 | 444,450,745 |
| Foundation Principal, end of year | \$418,103,371 | \$423,902,136 |

See notes to financial statements, page 40.

Notes to financial statements

Summary of significant accounting policies:

Grants are recorded as payable in the year the grant requests are authorized by the Board of Trustees. At December 31, 1976, unpaid grants are as follows:

| Year Grant Authorized | Amount Unpaid at December 31, 1976 |
|--------------------------|---------------------------------------|
| 1972 | \$ 926,465 |
| 1973 | 7,000,044 |
| 1974 | 24,426,985 |
| 1975 | 31,800,280 |
| 1976 | 35,247,933 |
| | \$99,401,707 |
| | |

Depreciation of \$81,728 in 1976 and \$14,648 in 1975 is calculated using the straight-line method over the estimated useful lives of the depreciable assets.

Interest and dividend income is recorded when received and expenses are recorded, except for federal excise taxes, when paid. The difference between the cash and accrual basis for such amounts is considered to be immaterial.

- 2. The quoted market values of investments, particularly in the case of the sizable holding of Johnson & Johnson common stock, may be greater than the realizable values of such investments.
- 3. The net capital gains (losses) on sales of securities for the years ended December 31, 1976 and 1975 were as follows:

| | 1976 | 1975 |
|--------------------------------|--------------|--------------|
| Johnson & Johnson common stock | \$15,591,809 | \$12,752,587 |
| Other securities, net | 466,936 | (1,184,367) |
| | \$16,058,745 | \$11,568,220 |
| | | |

4. Substantially all employees of the Foundation are covered by a retirement plan which provides for retirement benefits through the purchase of individually-owned annuities. The Foundation's policy is to fund costs accrued. Pension expense approximated \$139,000 and \$117,000 in 1976 and 1975, respectively.

Other corporate common stocks at December 31, 1976

| at December 31, 1976 | | | Quoted |
|---|---------|------------|--------------|
| | Channa | Cost | market value |
| | Shares | \$ 261,704 | \$ 324,188 |
| Airco Inc. | 10,500 | 186,477 | 299,000 |
| Allied Stores Corporation | 6,500 | | 400,750 |
| Aluminum Co. of America | 7,000 | 321,431 | 1,075,500 |
| Amax Inc. | 18,000 | 1,008,530 | 276,250 |
| American Express Company | 6,800 | 253,000 | 800,000 |
| American Home Products Corporation | 25,000 | 1,035,477 | |
| American Telephone & Telegraph Company | 4,000 | 248,880 | 254,000 |
| Atlantic Richfield Company | 18,000 | 912,025 | 1,039,500 |
| Barnett Banks of Florida, Inc. | 16,000 | 377,875 | 248,000 |
| Baxter Travenol Laboratories, Inc. | 14,000 | 586,636 | 567,000 |
| Beatrice Foods Company | 12,600 | 317,404 | 357,525 |
| Bendix Corporation | 11,466 | 505,730 | 511,670 |
| Bethlehem Steel Corporation | 9,000 | 336,125 | 363,375 |
| Boise Cascade Corporation | 5,000 | 152,050 | 168,125 |
| Bristol-Myers Company | 9,000 | 702,155 | 615,375 |
| Burroughs Corporation | 14,000 | 1,493,871 | 1,282,750 |
| Carnation Company | 9,000 | 675,125 | 708,750 |
| Caterpillar Tractor Company | 6,000 | 325,000 | 348,000 |
| Celanese Corporation | 6,700 | 355,567 | 329,975 |
| Chubb Corporation | 6,500 | 226,250 | 260,000 |
| Citicorp | 15,000 | 577,923 | 491,250 |
| Citizens & Southern Corp. | 7,000 | 180,150 | 120,750 |
| The Coca-Cola Company | 4,100 | 472,278 | 323,900 |
| Colt Industries, Inc. | 3,800 | 176,510 | 207,100 |
| Commonwealth Edison Company | 8,100 | 250,371 | 256,162 |
| Consolidated Foods Corporation | 9,000 | 232,348 | 239,625 |
| Dana Corporation | 11,200 | 262,144 | 324,800 |
| The Dow Chemical Company | 4,000 | 105,179 | 173,500 |
| Eastman Kodak Company | 10,400 | 1,184,807 | 894,400 |
| Eaton Corporation | 7,600 | 309,168 | 333,450 |
| Emerson Electric Co. | 21,000 | 870,028 | 724,500 |
| Emhart Corporation | 8,700 | 298,560 | 316,463 |
| Exxon Corporation | 28,000 | 1,215,781 | 1,501,500 |
| Firestone Tire & Rubber Company | 25,000 | 619,311 | 593,750 |
| First Chicago Corporation | 13,300 | 294,063 | 304,238 |
| Florida Power & Light Company | 33,000 | 892,725 | 911,625 |
| Ford Motor Company | 300,000 | 16,272,180 | 18,450,000 |
| Foster Wheeler Corporation | 9,200 | 317,041 | 384,100 |
| General Motors Corporation | 7,200 | 511,493 | 565,200 |
| General Reinsurance Corporation | 1,500 | 243,100 | 288,000 |
| Halliburton Company | 3,700 | 233,100 | 240,962 |
| Hercules Incorporated | 25,000 | 864,020 | 700,000 |
| Hewlett-Packard Company | 5,900 | 508,071 | 514,775 |
| ACCUMENT OF THE SECRET OF THE | | | |

| | | | Quoted |
|--|-----------------|--------------------|--------------------|
| | Shares | Cost | market value |
| Hughes Tool Company | 9,500 | \$ 446,933 | \$ 372,875 |
| International Business Machines Corporation | 12,100 | 3,539,477 | 3,377,413 |
| International Paper Company | 4,500 | 210,452 | 309,937 |
| International Telephone & Telegraph Corporation | 20,600 | 575,268 | 697,825 |
| Kaiser Aluminum & Chemical Corporation | 10,000 | 310,862 | 366,250 |
| S. S. Kresge Company | 35,000 | 1,217,362 | 1,426,250 |
| Longs Drug Stores Inc. | 17,000 | 579,548 | 575,875 |
| The Lubrizol Corp. | 7,600 | 346,502 | 273,600 |
| Lucky Stores, Inc. | 35,750 | 546,224 | 545,188 |
| MGIC Investment Corporation | 15,000 | 328,557 | 318,750 |
| Marshall Field & Company | 22,000 | 508,200 | 500,500 |
| Martin Marietta Corporation | 8,100 | 173,005 | 208,575 |
| Masonite Corporation | 25,000 | 560,215 | 612,500 |
| McDonnell Douglas Corporation | 12,600 | 300,063 | 299,250 |
| Mead Corporation | 12,900 | 140,696 | 267,675 |
| Minnesota Mining & Manufacturing Company | 12,000 | 850,465 | 679,500 |
| Mobil Corporation | 25,000 | 1,478,507 | 1,625,000 |
| Motorola, Inc. | 10,000 | 489,840 | 570,000 |
| NLT Corporation | 30,000 | 586,000 | 720,000 |
| National Starch & Chemical Corporation | 10,300 | 563,703 | 515,000 |
| Northwest Airlines, Inc. | 10,000 | 313,750 | 297,500 |
| PPG Industries, Inc. | 5,000 | 254,487 | 287,500 |
| PepsiCo, Inc. | 11,900 | 839,448 | 947,538 |
| Phelps Dodge Corporation | 11,000 | 490,589 | 453,750 |
| The Procter & Gamble Company | 3,600 | 346,955 | 337,050 |
| Public Service Electric & Gas Company | 12,000 | 213,548 | 276,000 |
| Revlon, Incorporated | 4,700 | 174,305 | 208,562 |
| The Reynolds & Reynolds Company (A) | 22,000 | 540,375 | 412,500 |
| Schering-Plough Corporation | 14,000 | 1,033,884 | 626,500 |
| Schlumberger Limited | 9,000 | 567,818 | 867,375 |
| Sears, Roebuck & Co. | 9,000 | 879,741 | 621,000 |
| Shell Oil Company | 3,400 | 222,802 | 268,175 |
| Southern Railway Company | 7,500 | 449,625 | 465,000 |
| Sperry Rand Corporation | 6,800 | 287,883 | 290,700 |
| Square D Company | 11,000 | 299,282 | 306,625 |
| Standard Oil Company (Indiana) | 20,000 | 929,458 | 1,192,500 |
| TRW, Inc. | 9,500 | 280,250 | 349,125 |
| Texas Gas Transmission Corporation | | 303,562 | 363,850 |
| | 7,600 | | |
| Textron, Inc. Travelers Corp. | 11,600 8,600 | 325,360 311,725 | 319,000 316,050 |
| Tucson Gas & Electric Company | 25,000 | | 387,500 |
| | | 360,750 | |
| Union Camp Corporation Union Carbide Corporation | 5,400 5,200 | 249,498 | 359,775 |
| | | 330,850 318,635 | 321,750 |
| United States Steel Corporation | 7,700 | | 383,075 |
| Wells Fargo & Company | 21,000 | 523,000 | 567,000 |

| Shares | | Cost | | Quoted rket value |
|--------|--|---|---|---|
| 4,200 | \$ | 245,725 | \$ | 235,200 |
| 11,200 | | 424,527 | | 456,400 |
| 14,400 | | 512,300 | | 669,600 |
| 4,700 | | 496,417 | | 274,950 |
| 14,000 | | 482,775 | | 598,500 |
| 6,000 | | 166,312 | | 159,000 |
| | \$6 | 4,099,178 | \$6 | 6,970,796 |
| | 4,200 11,200 14,400 4,700 14,000 | 4,200 \$ 11,200 14,400 4,700 14,000 6,000 | 4,200 \$ 245,725 11,200 424,527 14,400 512,300 4,700 496,417 14,000 482,775 | Shares Cost ma 4,200 \$ 245,725 \$ 11,200 424,527 14,400 512,300 4,700 496,417 14,000 482,775 6,000 166,312 |

Fixed income securities at December 31, 1976

| at December 31, 1970 | F | ace amount | Cost | m | Quoted arket value |
|---|-----|------------|---------------|-----|-----------------------|
| U.S. Treasury obligations: | 1.4 | ice amount | Cost | *** | miner /mine |
| Bills due 6-2-77 | S | 345,000 | \$ 337,622 | \$ | 338,400 |
| Bills due 6-16-77 | | 5,155,000 | 5,037,591 | | 5,047,364 |
| Bills due 9-20-77 | | 9,600,000 | 9,121,409 | | 9,277,344 |
| Bills due 10-18-77 | | 5,105,000 | 4,861,360 | | 4,914,736 |
| 6% % notes due 7-31-78 | | 10,000,000 | 10,107,500 | | 10,234,300 |
| 65/8 % notes due 8-31-78 | | 5,000,000 | 5,002,450 | | 5,101,560 |
| 61/4 % notes due 9-30-78 | | 5,000,000 | 5,014,063 | | 5,075,000 |
| 51/4 % notes due 12-31-78 | | 2,000,000 | 1,995,312 | | 1,995,000 |
| 73/4 % notes due 11-15-81 | | 3,000,000 | 3,005,625 | | 3,193,110 |
| 8% notes due 5-15-82 | | 2,000,000 | 2,016,875 | | 2,150,000 |
| 81/4 % bonds due 5-15-90 | | 2,000,000 | 2,019,375 | | 2,223,120 |
| 71/2 % bonds due 8-15-93 | | 2,100,000 | 2,008,125 | | 2,189,250 |
| | | 51,305,000 | 50,527,307 | | 51,739,184 |
| Other bonds and notes: | | | | | |
| ARCO Pipeline Co. | | | | | |
| 734 % guaranteed notes due 10-1-86 | | 1,500,000 | 1,505,875 | | 1,558,125 |
| Associates Corporation of North America Demand notes | | 7,000 | 7,000 | | 7,000 |
| BankAmerica Corp. | | | | | |
| 65/8 % notes due 2-1-80 | | 3,000,000 | 2,986,800 | | 3,003,750 |
| Bank of America | | 1 000 000 | 1.011.250 | | 1,002,500 |
| 65/8 % capital notes due 7-1-79 | | 1,000,000 | 1,011,250 | | 1,002,300 |
| Beneficial Corp. | | 2 000 000 | 2,000,000 | | 2,012,500 |
| 6¾ % debentures due 7-15-79 | | 2,000,000 | | | 2,868,750 |
| 7½ % debentures due 7-15-02 | | 3,000,000 | 2,982,000 | | 2,808,730 |
| CIT Financial Corp. | | 1,300,000 | 1,177,579 | | 1,217,125 |
| 63% % debentures due 10-1-86 | | 1,300,000 | 1,177,579 | | 1,217,123 |
| Chemical New York Corp. 65/8 % notes due 4-15-80 | | 3,000,000 | 2,982,900 | | 2,981,250 |

| Face amount | | | | | Quoted arket value | |
|---|----|------------|------|---|-----------------------|---|
| Chesapeake & Potomac Telephone Co. of Virginia | | | | | | |
| 6½ % notes due 6-1-78 | \$ | 3,000,000 | \$ | 3,000,000 | \$ | 3,007,500 |
| 71/4 % debentures due 6-1-12 | | 2,000,000 | 0.00 | 1,977,500 | | 1,915,000 |
| Commercial Credit Co. | | | | | | |
| 67/8 % notes due 7-15-79 | | 3,000,000 | | 2,985,000 | | 3,000,000 |
| Consolidated Natural Gas Co. | | | | -,, | | |
| 75/8 % debentures due 5-1-97 | | 3,000,000 | | 3,036,930 | | 2,857,500 |
| Consumers Power Co. | | | | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, |
| 71/2 % first mortgage bonds due 6-1-02 | | 3,000,000 | | 3,018,750 | | 2,617,500 |
| Dow Chemical Co. | | -,, | | 0,010,.00 | | 2,011,000 |
| 7.40% debentures due 7-15-02 | | 2,000,000 | | 2,000,000 | | 1,947,500 |
| Export Import Bank of the U.S. | | 2,000,000 | | 2,000,000 | | 2,5 11,000 |
| 8.35% debentures, series 1978-B | | | | | | |
| due 8-28-78 | | 2,000,000 | | 2,000,000 | | 2,080,000 |
| Federal Home Loan Banks | | 2,000,000 | | 2,000,000 | | 2,000,000 |
| 73/8 % consolidated bonds, series B | | | | | | |
| due 11-25-83 | | 3,000,000 | | 2 092 125 | | 2 101 250 |
| Federal Home Loan Mortgage Corp. | | 3,000,000 | | 2,983,125 | | 3,101,250 |
| 7.15% guaranteed mortgage bonds | | | | | | |
| due 5-26-82 to 97 | | 2 000 000 | | 2012105 | | 2 027 500 |
| | | 3,000,000 | | 3,013,125 | | 2,827,500 |
| Federal National Mortgage Association | | | | | | |
| Discount notes due 8-10-77 | | 5,000,000 | | 4,841,375 | | 4,856,600 |
| 7.35% debentures, series C due 12-10-82 | | 225,000 | | 224,438 | | 231,750 |
| 634 % debentures, series B due 9-12-83 | | 3,000,000 | | 2,995,312 | | 3,011,250 |
| 6¼ % debentures, series A due 6-11-84 | | 2,000,000 | | 1,936,250 | | 1,925,000 |
| 7.65% debentures, series A due 3-11-85 | | 13,735,000 | | 13,699,761 | | 14,181,388 |
| 8.15% debentures, series A due 2-10-86 | | 8,000,000 | | 7,962,500 | | 8,480,000 |
| 7.05% debentures, series B due 6-10-92 | | 5,000,000 | | 5,000,094 | | 4,893,750 |
| Federated Department Stores Inc. | | | | | | |
| Demand notes | | 91,000 | | 91,000 | | 91,000 |
| First Chicago Corp. | | | | | | |
| 7¾ % notes due 10-15-86 | | 2,250,000 | | 2,233,125 | | 2,325,938 |
| Ford Motor Company | | | | | | |
| 6½ % notes due 7-15-79 | | 1,000,000 | | 1,015,000 | | 1,010,000 |
| Ford Motor Credit Company | | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | -,, |
| Demand notes | | 8,000 | | 8,000 | | 8,000 |
| General Electric Credit Corp. | | 0,000 | | 0,000 | | 0,000 |
| 65/8 % notes due 8-15-77 | | 5,000,000 | | 5,000,000 | | 5,000,000 |
| 7% notes due 2-15-79 | | 1,000,000 | | 1,020,000 | | |
| General Finance Corporation | | 1,000,000 | | 1,020,000 | | 1,016,250 |
| Demand notes | | 7,000 | | 7.000 | | 7,000 |
| | | 7,000 | | 7,000 | | 7,000 |
| General Motors Acceptance Corp. Demand notes | | 0.000 | | 0.5.000 | | 0 < 00 = |
| Demand notes | | 96,000 | | 96,000 | | 96,000 |
| | | | | | | |

| | Face amount | Cost | Quoted market value |
|---|---------------|---------------|------------------------|
| General Telephone Co. of Florida | \$ 1,000,000 | \$ 990,570 | \$ 915,000 |
| 7½ % first mortgage bonds due 8-1-02 Walter E. Heller & Company | \$ 1,000,000 | \$ 990,570 | \$ 215,000 |
| Demand Notes | 15,000 | 15,000 | 15,000 |
| Household Finance Corp. | , | | |
| 7½% debentures, series IF due 8-1-95 Manufacturers Hanover Trust Co. | 3,000,000 | 3,000,000 | 2,883,750 |
| 61/2 % capital notes due 4-1-79 | 1,000,000 | 1,006,250 | 1,002,500 |
| Michigan Consolidated Gas Co. 75/8 % first mortgage bonds due 7-1-97 | 2,000,000 | 1,978,125 | 1,867,500 |
| Mountain States Telephone & Telegraph Co. | | | |
| 73/4 % debentures due 6-1-13 | 2,000,000 | 2,000,000 | 2,000,000 |
| Northern Illinois Gas Co. | | 2 005 540 | 1 005 000 |
| 75/8 % first mortgage bonds due 7-1-97 | 2,000,000 | 2,005,540 | 1,905,000 |
| Northwestern Bell Telephone Co. | 2 000 000 | 2 042 500 | 2,928,750 |
| 7½ % debentures due 4-1-05 | 3,000,000 | 3,042,500 | 2,920,730 |
| Pacific Gas & Electric Co. | | | |
| 7½ % first and refunding mortgage bonds, series YY due 6-1-04 | 3,000,000 | 3,000,000 | 2,775,000 |
| Quaker Oats Company | 3,000,000 | 3,000,000 | 2,775,000 |
| Demand notes | 18,000 | 18,000 | 18,000 |
| Southern Bell Telephone & Telegraph Co. | 10,000 | 10,000 | 10,000 |
| 6½ % notes due 7-15-79 | 2,000,000 | 1,987,500 | 2,017,500 |
| 73/8 % debentures due 7-15-10 | 3,000,000 | 2,952,500 | 2,951,250 |
| Southern California Edison Co. | 0,000,000 | -,,- | -,, |
| 7% % first and refunding mortgage bonds, | | | |
| series BB due 8-15-97 | 1,000,000 | 997,170 | 937,500 |
| Southwestern Bell Telephone Co. | | | |
| 6½ % notes due 5-1-79 | 3,000,000 | 2,976,250 | 2,992,500 |
| 73/8 % debentures due 5-1-12 | 3,000,000 | 2,990,400 | 2,932,500 |
| Tennessee Valley Authority | | | |
| 7.35% power bonds, series C due 7-1-97 | 4,000,000 | 4,000,000 | 3,960,000 |
| Textron Inc. | | | |
| 71/2 % sinking fund debentures due 7-15-97 | 2,000,000 | 2,000,000 | 1,862,500 |
| Thirteen Banks for Cooperatives | | | |
| 73/4 % consolidated bonds due 1-2-86 | 10,000,000 | 9,877,500 | 10,500,000 |
| Toledo Edison Co. | | | |
| 71/2 % first mortgage bonds due 8-1-02 | 2,000,000 | 1,995,000 | 1,800,000 |
| Twelve Federal Land Banks | | | |
| 6.80% consolidated bonds due 10-23-79 | 4,000,000 | 4,063,750 | 4,080,000 |
| 7.85% consolidated bonds due 1-20-88 | 9,355,000 | 9,267,175 | 9,822,750 |
| | 145,607,000 | 144,960,919 | 145,305,676 |
| Purchased interest | 282,604 | 282,604 | 282,604 |
| | \$197,194,604 | \$195,770,830 | \$197,327,464 |
| | | | |

Academy of Political Science

New York, New York

Study of the role of local governments in providing health services (ID#2857)

Adelphi University

Garden City, New York

Study of the role of nurses in primary care

[\$290,299 authorized in 1974]

University of Alabama

Birmingham, Alabama

Program to help rural communities establish health services

[\$100,000 authorized in 1975]

University of Alaska

Anchorage, Alaska

Planning project for rural health aide training (ID#3113)

Alderson-Broaddus College

Philippi, West Virginia

Physician's assistants program in primary care (1D#2471)

[\$693,000 authorized in 1973]

Allegheny General Hospital

Pittsburgh, Pennsylvania

Design of a primary care training program for emergency room nurses (1D#3181)

American Academy of Arts and Sciences

Boston, Massachusetts

Preparation of a special report on American health care (ID#3026)

American Academy of Pediatrics

Evanston, Illinois

Study of pediatric training programs (ID#2103)

American Arbitration Association

New York, New York

Program to improve the management of ambulatory care institutions

[\$167,000 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 | |
|----------------------------------|---------------------------|------------------|------------------------------------|--|
| \$ | \$ 23,250 | \$ 23,250 | \$ | |
| 221,186 | | 134,361 | 86,825 | |
| 43,600 | | 36,741 | 6,859 | |
| | 47,439 | | 47,439 | |
| 217,846 | 267,986 | 232,723 | 253,109 | |
| | 22,930 | 22,930 | | |
| | 20,000 | 20,000 | | |
| | 22,890 | 22,890 | | |
| 104,375 | | 104,375 | | |

American Association for Comprehensive Health Planning

Alexandria, Virginia

Technical assistance for health planning agencies

[\$360,000 authorized in 1975]

American Board of Clinical Engineering

Minneapolis, Minnesota

Administration of a certification program for clinical engineers (ID#2333)

American College of Nurse-Midwives Foundation

Washington, D.C.

Study of the role of nurse-midwives in American health care (10#2658)

American Fund for Dental Health

(formerly the American Fund for Dental Education)

Chicago, Illinois

Administration of the Foundation's dental student aid program (1D#3129)

[\$40,000 authorized in 1972]

Administration of the Foundation's program to train dentists in the care of the handicapped

[\$150,000 authorized in 1973]

Planning and implementation of a preventive dental care program for school-age children (ID#3218)

American Group Practice Foundation

Alexandria, Virginia

Program to equip physicians with professional management skills for group practices (ID#2128)

American Medical Association Education and Research Foundation Chicago, Illinois

Planning for professional certification of new health practitioners [\$51,365 authorized in 1974]

Publication of a casebook report on doctor-nurse joint practices, with the National Joint Practice Commission (10#2439)

American Medical Student Association Foundation

(formerly the Student American Medical Association Foundation)

Rolling Meadows, Illinois

Field service in community health for health science students (ID#2200)

[\$250,000 authorized in 1973]

| | Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|---|----------------------------------|------------------------|------------------|------------------------------------|
| | \$ 309,610 | \$ | \$ 200,656 | \$ 108,954 |
| | \$ 309,610 | Ψ | \$ 200,030 | J 100,734 |
| | | 20,000 | 20,000 | |
| | | 11,351 | 11,351 | |
| | 10,000 | 10,000 | 20,000 | |
| | 49,535 | | 26,335 | 23,200 |
| | | 5,405,721 | 142,727 | 5,262,994 |
| 100000000000000000000000000000000000000 | | 499,825 | 109,170 | 390,655 |
| | 9,670 | | 9,670 | |
| | | 25,000 | 25,000 | |
| | | | | |
| | 40,000 | 318,840 | 139,484 | 219,356 |
| | | | | |

American Nurses' Foundation, Inc.

Kansas City, Missouri

Support of a symposium on primary care (ID#3283)

American Society of Contemporary Medicine and Surgery Chicago, Illinois

Development of a nationwide telephone consultation service for physicians [\$300,000 authorized in 1974]

Appalachian Regional Hospitals, Inc.

Hazard, Kentucky

Outreach service for the care of mothers, infants, and young children [\$623,619 authorized in 1974]

Arizona State University College of Nursing

Tempe, Arizona

Rural emergency medical care training program with Maricopa County Hospital (ID#944)

Aspira of America, Inc.

New York, New York

Program to increase minority enrollment in medical schools [\$256,490 authorized in 1975]

Association of American Medical Colleges

Washington, D.C.

Administration of the Foundation's medical student aid program (ID#3130)

[\$56,880 authorized in 1974]

Program to strengthen the management capabilities of academic medical centers [\$540,000 authorized in 1974]

Association of Physician Assistant Programs

Washington, D.C.

Program with the American Academy of Physicians Assistants to foster training of new health practitioners (ID#2485)

[\$123,473 authorized in 1973]

Association of Science-Technology Centers

Washington, D.C.

Planning and development of teaching materials in health (ID#2635)

[\$307,071 authorized in 1975]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 | |
|--------------------------------------|---------------------------|-------------------|------------------------------------|--|
| \$ | \$ 18,364 | \$ 18,364 | \$ | |
| 75,000 | | 75,000 | | |
| 325,294 | | 232,564 | 92,730 | |
| | 294,540 | 43,374 | 251,166 | |
| 192,692 | | 160,468 | 32,224 | |
| 19,985 298,863 | 10,000 | 19,517 168,998 | 10,468 129,865 | |
| | | 1 2 | | |
| 293 | 225,000 | 37,793 | 187,500 | |
| 194,840 | 475,440 | 194,840 | 475,440 | |

Association of University Programs in Health Administration

Washington, D.C.

Summer internship program in health services management [\$332,817 authorized in 1975]

Study of the role of ambulatory care in health care management training (ID#2821)

Baylor College of Medicine

Houston, Texas

Preparation of physicians in primary care

[\$240,000 authorized in 1973]

Bedford-Stuyvesant Restoration Corporation Brooklyn, New York

Planning for a primary care health center

[\$138,100 authorized in 1975]

Beth Israel Hospital

Boston, Massachusetts

Development of a research capability in ambulatory care [\$512,337 authorized in 1974]

Boston City Hospital

Boston, Massachusetts

Program to prepare physicians and nurses for careers in general medical care [\$395,451 authorized in 1974, and \$1,189,677 authorized in 1975]

Boston University

Boston, Massachusetts

Studies in the quality of patient care

[\$519,729 authorized in 1975]

Boy Scouts of America

North Brunswick, New Jersey

National program of health education (ID#0954)

[\$144,000 authorized in 1973]

The Brookings Institution

Washington, D.C.

Publication of a study of government spending on health care for the poor (ID#3169)

Town of Brookline, Massachusetts, Public Schools

Brookline, Massachusetts

Health program for infants and preschool children (ID#2486)

[\$642,386 authorized in 1974]

| 1976 payments | | 1976 grants authorized | | Unpaid grants January 1, 1976 | | | | | | | | |
|------------------|------|---------------------------|------|----------------------------------|----|--|--------|------|----|--|--|--|
| 62,4 | \$ 6 | \$ | | | \$ | | 99,817 | 29 | \$ | | | |
| 27,3 | 2 | | 335 | 27 | | | | | | | | |
| 01,0 | 10 | | | | | | 21,195 | 12 | | | | |
| 69,0 | 6 | | | | | | 38,100 | 13 | | | | |
| 40,8 | 14 | | 7 | | | | 33,223 | 33 | | | | |
| 266,2 | 26 | | | | | | 64,482 | 1,36 | | | | |
| 222,4 | 22 | | | | | | 78,987 | 47 | | | | |
| 85,4 | 8 | | ,630 | 67 | | | 18,000 | 1 | | | | |
| | | | ,960 | 48 | | | === | | | | | |
| 126,6 | 42 | | ,058 | 712 | | | 29,039 | 32 | | | | |
| 126,6 | 42 | | ,058 | 712 | 24 | | 29,039 | 32 | | | | |

University of California, Berkeley

Berkeley, California

Research on selection criteria for future physicians

[\$139,256 authorized in 1975]

University of California, Davis, School of Medicine

Davis, California

Program for the preparation and placement of rural nurse practitioners (ID#2487) [\$1,178,000 authorized in 1973]

University of California, Los Angeles

Los Angeles, California

Program to prepare physicians in primary care (ID#2177)

Planning and conducting an evaluation of the Foundation's school health services program (ID#3133)

University of California, San Francisco, School of Medicine

San Francisco, California

Establishment of a health policy center (ID#2455)

[\$1,200,000 authorized in 1973]

Program to prepare physicians and nurses in primary care

[\$500,067 authorized in 1974, and \$656,344 authorized in 1975]

Program to prepare faculty in emergency medicine

[\$715,917 authorized in 1975]

Evaluation of the Foundation's Clinical Scholars Program

[\$207,403 authorized in 1975]

Analysis of Foundation-supported programs to prepare physicians for careers in primary medical care (1D#2378)

University of California, San Francisco, School of Nursing

San Francisco, California

Study of nurse practitioner education (ID#3009)

Canonsburg General Hospital

Canonsburg, Pennsylvania

Program to plan an ambulatory care system

[\$54,150 authorized in 1975]

Center for Research in Ambulatory Health Care Administration

Denver, Colorado

Program to train managers of ambulatory care centers

[\$491,191 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 | |
|----------------------------------|---------------------------|------------------|------------------------------------|--|
| \$ 119,736 | \$ | \$ 58,557 | \$ 61,179 | |
| 438,919 | 455,323 | 520,238 | 374,004 | |
| | 547,625 | 31,095 | 516,530 | |
| | 619,715 | 24,880 | 594,835 | |
| 215,370 | 1,000,000 | 290,370 | 925,000 | |
| 969,387 | | 423,471 | 545,916 | |
| 692,964 | | 77,494 | 615,470 | |
| 186,938 | | 26,119 | 160,819 | |
| | 149,417 | 73,011 | 76,406 | |
| | 61,846 | 15,462 | 46,384 | |
| 54,150 | | 54,150 | | |
| 201,696 | | 158,612 | 43,084 | |

University of Chicago

Chicago, Illinois

Development of a national index to measure access to physician care [\$1,042,470 authorized in 1975]

Study of the implementation of a national health insurance program [\$252,422 authorized in 1975]

Planning an evaluation of the Foundation's Community Hospital Ambulatory Care Program (ID#2284)

Children's Hospital

Washington, D.C.

Development of a child care program [\$135,628 authorized in 1974]

Children's Hospital Medical Center

Boston, Massachusetts

Training clinical faculty in child development (ID#2424)

[\$257,007 authorized in 1974]

Children's Research Institute of California

Sacramento, California

Study of the California child health care program (ID#2788)

Chinatown Action for Progress, Inc.

New York, New York

Planning a primary care health center

[\$49,412 authorized in 1975]

Christian Action Ministry

Chicago, Illinois

Development of a community-wide health program

[\$295,200 authorized in 1975]

La Clinica de la Raza

Oakland, California

Planning an expanded health services program

[\$48,599 authorized in 1975]

La Clinica del Pueblo de Rio Arriba

Tierra Amarilla, New Mexico

Development of a mother and infant care training program

[\$134,765 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 | |
|----------------------------------|------------------------|------------------|------------------------------------|--|
| | | | | |
| \$ 814,438 | \$ | \$ 754,689 | \$ 59,749 | |
| 252,422 | | 92,076 | 160,346 | |
| | 24,994 | 24,994 | | |
| 7,695 | | 7,695 | | |
| 143,609 | 450,000 | 123,439 | 470,170 | |
| | 286,750 | 111,063 | 175,687 | |
| 49,412 | | 49,412 | | |
| 262,900 | | 139,136 | 123,764 | |
| 48,599 | | 48,599 | | |
| 80,330 | | 48,914 | 31,416 | |
| | | | | |

Clinical Scholars Program¹

National program to prepare young physicians for leadership roles in medical care [\$5,900,000 authorized in 1972, \$748,381 authorized in 1973, and \$4,405,641 authorized in 1974]

University of Colorado, School of Medicine

Denver, Colorado

Center for the Prevention and Treatment of Child Abuse and Neglect [\$588,000 authorized in 1972, and \$1,162,655 authorized in 1975]

Project to provide rural doctors with student assistance

[\$519,000 authorized in 1973]

Planning of a new medical curriculum to prepare non-M.D. primary care practitioners [\$155,400 authorized in 1974]

Columbia University

New York, New York

Public policy program in health services and manpower by the Center for the Conservation of Human Resources (ID#2889)

[\$222,000 authorized in 1973]

Columbia University, College of Physicians and Surgeons

New York, New York

Program to improve primary care services for children [\$500,000 authorized in 1974, and \$595,927 authorized in 1975]

Commission for Mexican-American Affairs

San Antonio, Texas

Support of a child care program

[\$526,791 authorized in 1975]

Community Hospital-Medical Staff Group Practice Program

Grants for the development of hospital-sponsored primary care group practices (ID#2870) (See Schedule A, page 92)

The Community Hospital Group, Inc.*

Edison, New Jersey

Purchase of out-patient equipment (ID#2981)

University of Connecticut

Hartford, Connecticut

Development of a school-based health care program

[\$618,557 authorized in 1975]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|---------------------------|------------------|------------------------------------|
| \$ 7,785,314 | \$ | \$ 4,121,155 | \$ 3,664,159 |
| | | | |
| 1,425,644 | | 521,205 | 904,439 |
| 161,143 | | 161,143 | |
| 102,224 | | 25,250 | 76,974 |
| 59,853 | 333,773 | 26,750 | 366,876 |
| | | | |
| 601,573 | | 276,676 | 324,897 |
| | | 100 010 | 237,412 |
| 426,231 | | 188,819 | 257,412 |
| | 14,935,022 | 933,940 | 14,001,082 |
| | 25,000 | 25,000 | |
| 566,869 | | 223,635 | 343,234 |

Cornell University, Medical College

New York, New York

Planning for ambulatory care

[\$499,000 authorized in 1973]

Study of doctor-patient communications (ID#2473)

[\$154,767 authorized in 1974]

Dartmouth College, Medical School

Hanover, New Hampshire

Development of a primary care service and training program

[\$1,154,685 authorized in 1974]

Dental Training Program²

Grants to dental schools to train dentists in the care of the handicapped

[\$4,700,000 authorized in 1973]

Charles R. Drew Postgraduate Medical School

Los Angeles, California

Planning for a primary care training and service program

[\$164,057 authorized in 1974]

Duke University, School of Medicine

Durham, North Carolina

Research and training in primary care community practice

[\$1,134,375 authorized in 1972]

Faculty training and research program in family medicine [\$802,885 authorized in 1975]

East Kentucky Health Services Center, Inc.

Hindman, Kentucky

Expansion of a nonprofit rural group practice

[\$344,050 authorized in 1975]

ECCO Family Health Center

Columbus, Ohio

Expansion of an ambulatory health care services program (ID#2911)

Educational Testing Service

Princeton, New Jersey

Planning and development of a program to evaluate the Foundation's dental training program for the care of the handicapped

[\$300,530 authorized in 1974]

| | | 1976 payments | Unpaid grants December 31, 1976 |
|------------|---------|------------------|------------------------------------|
| \$ 160,000 | \$ | \$ 58,064 | \$ 101,936 |
| 63,690 | 243,091 | 84,413 | 222,368 |
| 959,235 | | 245,178 | 714,057 |
| 3,568,135 | | 1,007,011 | 2,561,124 |
| 82,028 | | 82,028 | |
| 100,875 | | 100,875 | |
| 802,885 | | 69,703 | 733,182 |
| 344,050 | | 162,368 | 181,682 |
| | 392,987 | 32,102 | 360,885 |
| 213,918 | | 57,494 | 156,424 |
| | | | |

Emergency Medical Response Program¹

Grants to communities developing regional systems

[\$15,000,000 authorized in 1973]

University of Florida, College of Medicine

Gainesville, Florida

Primary care training and service program

[\$183,000 authorized in 1973, and \$870,371 authorized in 1975]

University of Florida, College of Nursing

Gainesville, Florida

Planning an educational program in clinical primary care nursing (ID#2390)

Forsyth Dental Center

Boston, Massachusetts

Publication of a report on dental hygienists' role in primary dental care (1D#2886)

The Foundation Center

New York, New York

Data collection and analysis in the foundation field

[\$187,500 authorized in 1975]

Foundation for Comprehensive Health Services

Sacramento, California

Primary care delivery for rural California (ID#2843)

Frontier Nursing Service

Wendover, Kentucky

Expansion of a nurse-run primary care network

[\$508,360 authorized in 1975]

Fund for the City of New York

New York, New York

Program to improve the quality of care in municipal hospitals (ID#2708)

Genesee Hospital

Rochester, New York

Expansion of an ambulatory care program

[\$187,000 authorized in 1973]

George Washington University

Washington, D.C.

Seminar program for government health staff professionals

[\$498,178 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|---------------------------|------------------|------------------------------------|
| \$ 5,301,686 | \$ | \$ 3,361,316 | \$ 1,940,370 |
| 832,841 | | 262,097 | 570,744 |
| | 66,695 | 33,348 | 33,347 |
| | 12,897 | 12,897 | |
| 150,000 | | 56,250 | 93,750 |
| | 77,000 | | 77,000 |
| 326,624 | | 120,267 | 206,357 |
| | 150,000 | 56,250 | 93,750 |
| 80,017 | | 13,294 | 66,723 |
| 180,068 | | 180,068 | |
| | | | |

George Washington University, School of Medicine Washington, D.C.

Program to train physicians and nurses in primary care [\$600,000 authorized in 1973]

Georgetown University, Graduate School

Washington, D.C.

Evaluation of the program to strengthen the role of state legislatures [\$233,300 authorized in 1973]

Planning and development of a health policy center [\$1,328,734 authorized in 1974]

Glenville Health Association

Cleveland, Ohio

Development of a nonprofit group practice

[\$400,000 authorized in 1973]

The Greater Hartford Process

Hartford, Connecticut

Development of primary care health programs

[\$247,267 authorized in 1974]

Group Health Foundation

Washington, D.C.

Program with the University of Pennsylvania to prepare managers for prepaid group practices

[\$48,000 authorized in 1974]

Program to equip physicians with professional management skills for HMOs (ID#2107)

Harvard University, Medical School

Boston, Massachusetts

Research in selection criteria for training future primary care doctors [\$167,250 authorized in 1972]

Program to train physicians for primary medical care

[\$337,644 authorized in 1973, and \$821,004 authorized in 1974]

Harvard University, School of Public Health

Cambridge, Massachusetts

Studies of the effectiveness of selected medical procedures

[\$750,000 authorized in 1973]

Support of the School of Public Health (ID#3107)

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 | |
|----------------------------------|---------------------------|------------------|------------------------------------|--|
| \$ 349,655 | \$ | \$ 247,917 | \$ 101,738 | |
| 90,513 | | 90,513 | | |
| 1,189,905 | | 681,693 | 508,212 | |
| 50,000 | | 50,000 | | |
| 28,132 | | 28,132 | | |
| 20,199 | | | 20,199 | |
| | 299,585 | 70,178 | 229,407 | |
| 39,750 | | 39,750 | | |
| 842,642 | | 187,668 | 654,974 | |
| 311,413 | | 186,413 | 125,000 | |
| | 1,000,000 | 83,334 | 916,666 | |

Harvard University, School of Public Health, Graduate School of Education, and School of Government

Cambridge, Massachusetts

National study of child health and ambulatory health care standards [\$500,000 authorized in 1973]

Harvard University, Department of Economics Cambridge, Massachusetts

Health economics training program [\$423,000 authorized in 1973]

Harvard University, Graduate School of Education Cambridge, Massachusetts Preparation of a report on child health care (ID#2527)

Harvard University, Center for Community Health and Medical Care Boston, Massachusetts Program in health services development [\$375,000 authorized in 1973]

Health Care Management Systems, Inc. Tooele, Utah Development of information systems for ambulatory care [\$396,152 authorized in 1974]

Health and Education Council, Inc. Baltimore, Maryland Development of an ambulatory care system [\$261,503 authorized in 1974]

Hospital for Joint Diseases and Medical Center New York, New York Feasibility study for a primary care service program (ID#2699)

Hospital Research and Educational Trust Chicago, Illinois Study of the role of public hospitals in ambulatory care (ID#2412)

Hyde Park-Kenwood Community Health Center, Inc. Chicago, Illinois Development of a primary care health services program (ID#2306)

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|------------------------|------------------|------------------------------------|
| \$ 30,551 | \$ | \$ 30,551 | \$ |
| 288,600 | | 58,900 | 229,700 |
| | 54,600 | 54,600 | |
| 173,692 | | 98,161 | 75,531 |
| 265,387 | | 157,434 | 107,953 |
| 159,611 | | 120,034 | 39,577 |
| | 29,900 | 29,900 | |
| | 325,000 | 140,760 | 184,240 |
| | 25,000 | 25,000 | |

University of Illinois, Abraham Lincoln School of Medicine Chicago, Illinois

Expansion of Urban Preceptorship Program [\$576,390 authorized in 1972]

Indiana University Foundation

Bloomington, Indiana

Planning a new health practitioner training program for Gary, Indiana [\$107,185 authorized in 1974]

Program to prepare clinical nursing faculty in primary care [\$297,653 authorized in 1975]

Institute of Society, Ethics and the Life Sciences Hastings-on-the-Hudson, New York Study of ethical values in health care policy formulation [\$293,000 authorized in 1973]

The Johns Hopkins University Baltimore, Maryland School of health services training program [\$3,000,000 authorized in 1973, and \$3,000,000 authorized in 1975]

The Johns Hopkins University, Center for Health Services Research and Development Baltimore, Maryland

Evaluation of the Foundation's perinatal program [\$2,013,220 authorized in 1974]

The Johns Hopkins University, School of Medicine Baltimore, Maryland

Program to prepare faculty in emergency medicine [\$754,272 authorized in 1974]

Feasibility study of evaluation tools to select medical school applicants (ID#2714)

Joint Commission on Accreditation of Hospitals Chicago, Illinois

Ambulatory health care services accreditation program (ID#2428)

University of Kentucky, College of Dentistry Lexington, Kentucky

Training and evaluation of dental hygienists in primary dental care [\$269,795 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|------------------------|------------------|------------------------------------|
| \$ 380,000 | \$ | \$ 138,779 | \$ 241,221 |
| | | | |
| 35,728 | | 35,728 | |
| 273,766 | | 75,160 | 198,606 |
| 4,171 | | 4,171 | |
| 2,608,399 | | 2,010,399 | 598,000 |
| | | | |
| 1,872,943 | | 294,274 | 1,578,669 |
| 526,252 | | 138,015 | 388,237 |
| | 130,473 | 32,619 | 97,854 |
| | 338,165 | 43,076 | 295,089 |
| 76,776 | | 76,776 | |

Lake Erie College

Painesville, Ohio

Program with the Cleveland Clinic to train physician's assistants [\$526,853 authorized in 1975]

Legis 50

(formerly the Citizens Conference on State Legislatures)

Englewood, Colorado

Program to strengthen the role of state legislatures in health [\$1,184,998 authorized in 1975]

Maine Medical Center

Portland, Maine

Community service and teaching program in primary care

[\$359,000 authorized in 1973]

Massachusetts Institute of Technology, Alfred P. Sloan School of Management Cambridge, Massachusetts

Program to improve primary care team skills

[\$440,449 authorized in 1974]

University of Massachusetts

Worcester, Massachusetts

Program to improve methods for evaluating the quality of health care services [\$225,191 authorized in 1975]

The Matheny School*

Peapack, New Jersey

Equipment support for 1976 (ID#3118)

Medical Center of Gary, Inc.

Gary, Indiana

Program to train family health practitioners

[\$300,000 authorized in 1975]

Medical Mission Sisters

Philadelphia, Pennsylvania

Program of primary care services for rural and urban communities [\$161,702 authorized in 1975]

Medical Student Aid Program

Supplemental support for grants to U.S. medical schools for scholarships and loans (ID#3132)

(See Schedule B, page 94)

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|------------------------|------------------|------------------------------------|
| \$ 526,853 | \$ | \$ 103,557 | \$ 423,296 |
| 1,054,785 | | 599,520 | 455,265 |
| 80,940 | | 80,940 | |
| 148,115 | | 126,104 | 22,011 |
| 197,042 | | 115,372 | 81,670 |
| | 5,000 | | 5,000 |
| 262,713 | | 61,913 | 200,800 |
| 161,702 | | 80,852 | 80,850 |
| | 2,500,000 | 2,500,000 | |
| | | | |

Meharry Medical College

Nashville, Tennessee

Improvement of teaching and service programs in primary care [\$5,000,000 authorized in 1972]

University of Michigan, Medical School

Ann Arbor, Michigan

Support of a workshop on financial-aid programs for medical students (ID#2958)

University of Michigan, School of Public Health

Ann Arbor, Michigan

Program on health manpower development (ID#2479)

[\$375,000 authorized in 1973]

Middlesex County College Foundation, Inc.*

Edison, New Jersey

Health sciences scholarship program (ID#2617)

Support of a nurse training program (ID#3067)

Middlesex General Hospital*

New Brunswick, New Jersey

Purchase of equipment (ID#2878)

Affiliation plans with the College of Medicine and Dentistry of New Jersey (ID#3652

Mile Square Neighborhood Health Center, Inc.

Chicago, Illinois

Planning an expanded health services program

[\$69,360 authorized in 1975]

University of Mississippi Medical Center

Jackson, Mississippi

Program to increase minority enrollment in medical schools (ID#2296)

University of Missouri, Kansas City, School of Medicine

Kansas City, Missouri

Program to prepare physicians and nurses for careers in general medical care [\$901,670 authorized in 1974]

Mohawk Valley Medical Center, Inc.

Shelburne Falls, Massachusetts

Development of a community-based primary care practice in rural Massachusetts [\$169,458 authorized in 1975]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|------------------------|------------------|------------------------------------|
| \$ 750,000 | \$ | \$ 750,000 | \$ |
| | | | |
| | 13,625 | 13,625 | |
| 94,537 | 424,911 | 33,403 | 486,045 |
| | | | |
| | 7,500 25,160 | 7,500 25,160 | |
| | 250,000 | 250,000 | |
| | 25,000 | 25,000 | |
| 69,360 | | 34,680 | 34,680 |
| | 433,705 | 27,455 | 406,250 |
| 859,566 | | 238,785 | 620,781 |
| 79,158 | | 79,158 | |
| | | | |

Montefiore Hospital and Medical Center

Bronx, New York

Training physicians and other professionals in team practice

[\$584,877 authorized in 1975]

Development of a child care program with the Martin Luther King Health Center [\$579,530 authorized in 1975]

Montgomery County, Maryland, Medical Care Foundation, Inc.

Wheaton, Maryland

Program to improve access to physician care [\$121,327 authorized in 1974]

Morehead Clinic

Morehead, Kentucky

Development of primary care satellite clinics in northeast Kentucky [\$245,860 authorized in 1974]

Morehouse College

Atlanta, Georgia

Program to increase minority enrollment in medical schools (ID#2716)

Mount Sinai School of Medicine

New York, New York

Program to develop primary care services for children (ID#2825)

[\$600,000 authorized in 1973]

National Academy of Sciences, Institute of Medicine

Washington, D.C.

Fellowships in health policy program

[\$710,000 authorized in 1973, and \$1,215,040 authorized in 1975]

Support of the Institute of Medicine

[\$850,000 authorized in 1975]

National Academy of Sciences, National Research Council

Washington, D.C.

Administration of the Foundation's regional emergency medical response program [\$360,000 authorized in 1975]

Support of the Academy's Emergency Medical Services Committee [\$274,200 authorized in 1975]

National Association of Health Services Executives

New York, New York

Program to assist minority health administrators

[\$232,862 authorized in 1975]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|------------------------|---------------|------------------------------------|
| | | | |
| \$ 407,305 | \$ | \$ 198,502 | \$ 208,803 |
| 535,914 | | 206,341 | 329,573 |
| 28,195 | | 28,195 | |
| 126,480 | | 117,376 | 9,104 |
| | | | |
| | 471,225 | 20,967 | 450,258 |
| 117,750 | 198,925 | 167,482 | 149,193 |
| 1,393,255 | | 750,106 | 643,149 |
| 762,500 | | 531,100 | 231,400 |
| | | | e = = |
| 260,604 | | 203,737 | 56,867 |
| 274,200 | | 64,040 | 210,160 |
| 204,399 | | 56,925 | 147,474 |
| | | | |

National Bureau of Economic Research

New York, New York

Research and training program in health economics (ID#3081)

[\$210,000 authorized in 1972]

National Fund for Medical Education

Hartford, Connecticut

Support of summer programs for minority premedical students (ID#2583)

National Health Council

New York, New York

Program to strengthen organizations and agencies working in health [\$250,000 authorized in 1973]

National League for Nursing

New York, New York

Support of a summer fellowship program in public policy (1D#2739)

Study of employment patterns of recently graduated nurses (1D#2735)

National Medical Fellowships

New York, New York

Scholarship program for minority medical students (ID#2929)

National Planning Association

Washington, D.C.

Study of the impact of student aid programs

[\$206,728 authorized in 1973]

Publication of an analysis of federal expenditures in health (1D#2286)

Administration of the Foundation's Community Hospital Program (ID#2869)

Analysis of health policy issues (ID#2491)

University of Nebraska, Medical Center

Omaha, Nebraska

Planning primary care service programs

[\$503,000 authorized in 1973]

University of Nevada

Reno, Nevada

Program to train health professions students in primary care

[\$1,051,000 authorized in 1972]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|---------------------------|------------------|------------------------------------|
| \$ 103,499 | \$ 274,091 | \$ 103,499 | \$ 274,091 |
| | 160,000 | | |
| | 100,000 | 3,300 | 156,700 |
| 25,600 | | 25,600 | |
| | | | |
| | 32,837 40,778 | 32,837 40,778 | |
| | 1 000 000 | | |
| | 1,000,000 | 250,000 | 750,000 |
| 81,170 | | 80,797 | 373 |
| | 101,262 | 101,262 | |
| | 544,864 | 544,864 | |
| | 159,469 | 159,469 | |
| 245,735 | | 109,314 | 136,421 |
| 24,000 | | 24,000 | |

City of New Brunswick*

New Brunswick, New Jersey

Emergency medical services program for the New Brunswick area (1D#2963)

New Brunswick Tomorrow*

New Brunswick, New Jersey

Support of a health professions workshop (ID#2921)

New England Medical Center Hospital

Boston, Massachusetts

Study of decision making in the health care system

[\$149,880 authorized in 1975]

New England Municipal Center Durham, New Hampshire

Planning a technical assistance program in health for rural communities (ID#2307)

College of Medicine and Dentistry of New Jersey

Newark, New Jersey

Planning for training and service programs

[\$493,000 authorized in 1973]

Program to prepare minority students for preprofessional careers in medicine and dentistry (1D#2795)

College of Medicine and Dentistry of New Jersey, Rutgers Medical School Piscataway, New Jersey

Program to strengthen family physician training in New Jersey (1D#2636) [\$147,597 authorized in 1974]

The Foundation of the College of Medicine and Dentistry of New Jersey Newark, New Jersey

Program to raise private-sector funds for the development of the College [\$5,000,000 authorized in 1975]

University of New Mexico, College of Nursing Albuquerque, New Mexico

Study of family nurse practitioner training (ID#3295)

University of North Carolina, School of Medicine

Chapel Hill, North Carolina

Study of primary care health centers

[\$254,288 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 grants 1976 authorized payments | |
|----------------------------------|------------------------|---|-----------|
| | | | |
| \$ | \$ 57,000 | \$ 57,000 | \$ |
| | 1,000 | 1,000 | |
| 149,880 | | 47,120 | 102,760 |
| | 21,375 | 21,375 | |
| 314,521 | | 30,731 | 283,790 |
| | 264,592 | 21,771 | 242,821 |
| 110,698 | 450,340 | 101,971 | 459,067 |
| 1,000,000 | | | 1,000,000 |
| | 17,781 | 17,781 | |
| 201,276 | | 125,662 | 75,614 |

University of North Carolina, School of Medicine (continued from page 78)

Administration of the Foundation's rural community practice models program [\$2,074,081 authorized in 1975]

Administrative grant for senior program consultant services (ID#3634)

North Communities Health Plan Foundation (formerly Evanston Medical Consumers)

Evanston, Illinois

Development of a nonprofit group practice [\$188,000 authorized in 1973]

Nursing Faculty Fellowships Program

Program to equip nursing faculty with primary care clinical skills [\$3,000,000 authorized in 1975]

(See Schedule C, page 98 for a listing of grant recipients under the program to date)

University of Oregon Health Sciences Center, School of Nursing Portland, Oregon

Data collection and analysis of the Foundation's Nurse Faculty Fellowships Program (ID#3296)

University of Pennsylvania Philadelphia, Pennsylvania

Study of the economics and financing of emergency medical systems [\$188,388 authorized in 1974]

Study of chronic care, in association with Middlesex General Hospital, New Brunswick, New Jersey (ID#2709)

University of Pennsylvania, School of Dental Medicine

Philadelphia, Pennsylvania

Dental care program for school-age children in rural Pennsylvania [\$2,023,854 authorized in 1975]

University of Pennsylvania, Wharton School Philadelphia, Pennsylvania

Program to prepare managers for prepaid group practices [\$678,033 authorized in 1974]

Perinatal Program³

Grants for the development of regional high-risk pregnancy networks [\$17,600,000 authorized in 1974]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|---|---|--|
| \$ 1,952,989 | \$ | \$ 416,654 | \$ 1,536,335 |
| | 82,465 | | 82,465 |
| 75,000 | | 50,000 | 25,000 |
| 3,000,000 | | 37,451 | 2,962,549 |
| | 123,947 | 43,596 | 80,351 |
| 60,808 | | 60,808 | |
| | 24,916 | 24,916 | |
| 1,851,945 | | 1,083,245 | 768,700 |
| 437,729 | | 234,686 | 203,043 |
| 15,600,000 | | 1,261,804 | 14,338,196 |
| | \$ 1,952,989 75,000 3,000,000 60,808 | \$ 1,952,989 \$ 82,465 75,000 3,000,000 123,947 60,808 24,916 1,851,945 | \$ 1,952,989 \$ \$ 416,654 75,000 \$ 50,000 3,000,000 \$ 37,451 123,947 \$ 43,596 60,808 \$ 60,808 24,916 \$ 24,916 1,851,945 \$ 1,083,245 |

University of Pittsburgh, School of Medicine Pittsburgh, Pennsylvania Expansion of a child care program [\$475,809 authorized in 1974]

Posen-Robbins School District 1431/2 Oak Park, Illinois

Planning a school-based health care system (ID#2762)

Princeton Area United Community Fund Princeton, New Jersey

Support of the 1975 and 1976 campaigns (ID#3108)

Public Technology, Inc.

Washington, D.C.

Emergency medical services technical assistance program [\$673,967 authorized in 1974]

The Rand Corporation Santa Monica, California

Evaluation of regional emergency medical response systems [\$462,650 authorized in 1973]

Planning and conducting the evaluation of a preventive dental care program for school-age children (ID#2890)

Rio Grande Federation of Health Centers San Antonio, Texas

Support of a technical assistance program (1D#2538)

University of Rochester Rochester, New York

Program to train physicians and nurses for general medical care [\$1,395,000 authorized in 1973]

University of Rochester, School of Medicine and Dentistry Rochester, New York

Study to improve physician-patient communications (ID#2352)

Roxbury Dental and Medical Group, Inc.

Roxbury, Massachusetts

Expansion of a nonprofit group practice [\$224,840 authorized in 1974]

| 62,707 15,677 55,500 26,500 207,818 134,436 190,546 97,761 771,611 99,938 6 243,180 22,458 2 | 4,404 |
|--|--------|
| 55,500 26,500 207,818 134,436 190,546 97,761 99,938 6 243,180 22,458 2 | 4,404 |
| 207,818 134,436 190,546 97,761 771,611 99,938 6 243,180 22,458 2 | 7,030 |
| 190,546 97,761 771,611 99,938 6 243,180 22,458 2 | 9,000 |
| 771,611 99,938 6 243,180 22,458 2 | 3,382 |
| 243,180 22,458 2 | 2,785 |
| | 71,673 |
| | 20,722 |
| 845,779 282,635 5 | 53,144 |
| 25,000 25,000 | |
| 119,882 | |

Rural Health Care Association

Denver, Colorado

Strengthening rural primary care practice in Colorado and adjacent states [\$462,400 authorized in 1974]

Rural Practice Project

Program to develop nonprofit group medical practices in rural areas [\$12,000,000 authorized in 1975]

(See Schedule D, page 99 for listing of grant recipients under this program to date)

Rush-Presbyterian-St. Luke's Medical Center

Chicago, Illinois

System of education and service in ambulatory care

[\$434,000 authorized in 1973, and \$161,835 authorized in 1975]

St. Joseph Hospital

Albuquerque, New Mexico

Development of a rural health clinic network

[\$213,000 authorized in 1973]

St. Peter's Medical Center*

New Brunswick, New Jersey

Purchase of equipment (ID#2850)

St. Peter's Medical Center, School of Nursing*

New Brunswick, New Jersey

Support of a nurse training program (ID#2990)

St. Vincent de Paul Society*

New Brunswick, New Jersey

Program of assistance to the indigent (ID#3115)

Salvation Army*

New Brunswick, New Jersey

Program of assistance to the indigent (ID#3114)

Seton Hall University, College of Nursing

South Orange, New Jersey

Planning an educational program in clinical primary care nursing (ID#3102)

University of Southern California, School of Medicine

Los Angeles, California

Study of the role of medical specialists in primary care

[\$213,090 authorized in 1974, and \$1,403,644 authorized in 1975]

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|---------------------------|------------------|------------------------------------|
| \$ 245,950 | \$ | \$ 155,064 | \$ 90,886 |
| 12,000,000 | | 383,655 | 11,616,345 |
| 277,467 | | 196,552 | 80,915 |
| 35,950 | | 35,950 | |
| | 250,000 | 250,000 | |
| | 30,000 | 30,000 | |
| | 30,000 | 15,000 | 15,000 |
| | 50,000 | 25,000 | 25,000 |
| | 41,270 | | 41,270 |
| 1,507,181 | | 642,537 | 864,644 |
| | | | |

University of Southern California, School of Medicine (continued from page 84)

Research and publication of a report on physician location and specialty choice (ID#3330) [\$100,000 authorized in 1975]

Southern Regional Council, Inc. Atlanta, Georgia Study of rural health problems [\$151,598 authorized in 1975]

Stanford University Medical Center Stanford, California

Planning of a research and training program in pediatrics (ID#2895) Study of the training of new health practitioners in primary care, with the University of California, Davis (1D#2944)

Student National Medical Association Washington, D.C.

Support for the minority medical preceptorship program (ID#2331) [\$145,380 authorized in 1975]

Tennessee Department of Public Health

Nashville, Tennessee

Development of a primary care center in Hamilton County [\$417,346 authorized in 1975]

University of Tennessee, College of Medicine Memphis, Tennessee Development of a primary care network [\$801,504 authorized in 1974]

University of Texas, Austin Austin, Texas

Evaluation of the Foundation's Rural Practice Project and other rural health service programs (ID#2285)

University of Texas Medical Branch at Galveston Galveston, Texas

Primary care services for school-age children (ID#2763) [\$824,796 authorized in 1974]

Program to increase minority enrollment in medical schools (1D#2422)

| Unpaid grants January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 |
|----------------------------------|---------------------------|------------------|------------------------------------|
| | | | |
| \$ 75,000 | \$ 19,608 | \$ 94,608 | \$ |
| 60,638 | | 60,638 | |
| | 65,300 | 16,325 | 48,975 |
| | 198,573 | | 198,573 |
| 109,035 | 201,299 | 159,360 | 150,974 |
| 417,346 | | 41,456 | 375,890 |
| 542,302 | | 194,307 | 347,995 |
| | 499,709 | 41,164 | 458,545 |
| 401,382 | 1,171,960 | 638,830 | 934,512 |
| | 339,268 | 49,574 | 289,694 |

Thomas Jefferson University Philadelphia, Pennsylvania Planning for ambulatory care [\$650,000 authorized in 1973]

Tulane University

New Orleans, Louisiana

Program to increase minority enrollment in medical schools [\$618,492 authorized in 1974]

Tuskegee Institute Tuskegee, Alabama

Development of a primary care health service in rural Alabama [\$436,045 authorized in 1974, and \$1,419,880 authorized in 1975]

United Student Aid Funds, Inc.

New York, New York

Planning a guaranteed student loan program for medical, dental, and osteopathic students (ID#3197)

United Way of Central Jersey, Inc.* New Brunswick, New Jersey

Support for the 1976 campaign (1D#3116)

Upper Connecticut Valley Hospital Association

Colebrook, New Hampshire

Development of a hospital-based primary care group practice [\$234,638 authorized in 1974]

Utah Valley Hospital

Provo, Utah

Network of rural health clinics

[\$344,840 authorized in 1972]

Vanderbilt University

Nashville, Tennessee

Program to improve rural community health services

[\$312,780 authorized in 1975]

Administrative grant for senior program consultant services (ID#3641)

University of Vermont, College of Medicine

Burlington, Vermont

Development of an electronic system for a unitary patient record [\$600,000 authorized in 1972]

| January 1, 1976 | 1976 grants authorized | 1976 payments | Unpaid grants December 31, 1976 | |
|-----------------|---------------------------|------------------------------|--|--|
| \$ 179,260 | \$ | \$ 179,260 | \$ | |
| 586,407 | | 128,701 | 457,706 | |
| 1,325,077 | | 394,837 | 930,240 | |
| | 15,850 | 15,850 | | |
| | 150,000 | | 150,000 | |
| 209,538 | | 209,538 | | |
| 65,843 | | 65,843 | | |
| 286,715 | | 88,699 | 198,016 | |
| | 99,991 | = | 99,99 | |
| . 2 | | | | |
| | 65,843 286,715 | 209,538 65,843 286,715 | 209,538 209,538 65,843 65,843 286,715 88,699 | |

University of Virginia, School of Medicine Charlottesville, Virginia Development of a primary care program [\$312,743 authorized in 1974]

Washington University, School of Medicine St. Louis, Missouri

Development of an ambulatory care teaching practice (ID#2484) [\$600,000 authorized in 1973]

University of Washington, Seattle, School of Medicine Seattle, Washington Study of the training of new health practitioners [\$520,351 authorized in 1975] Planning for a primary care residency program (1D#3221)

University of Wisconsin Madison, Wisconsin

Studies in the organization of health care services (ID#2492) [\$486,000 authorized in 1973]

Study of new health practitioners in ambulatory care [\$217,760 authorized in 1974]

Yale University, School of Medicine New Haven, Connecticut Research on the structure and quality of primary pediatric care [\$376,000 authorized in 1973] Administrative grant for senior program consultant services (1D#3622)

Refunds Cancellations

^{*} Local projects in the New Brunswick, New Jersey area.

Listings of grant recipients under this program appeared in the 1973 and 1974 Reports.

² A listing of grant recipients under this program appeared in the 1974 Report.

³ A listing of grant recipients under this program appeared in the 1975 Report.

| Unpaid grants 1976 grants 1976 January 1, 1976 authorized payments | | Unpaid grants December 31, 1976 | |
|---|-------------------|------------------------------------|--------------|
| \$ 194,889 | \$ | \$ 146,167 | \$ 48,722 |
| 176,056 | 495,400 | 235,157 | 436,299 |
| 474,008 | | 137,321 | 336,687 |
| | 96,073 | | 96,073 |
| 329,900 | 269,230 | 148,412 | 450,718 |
| 90,515 | | 59,285 | 31,230 |
| 196,286 | | 113,209 | 83,077 |
| | 80,195 | | 80,195 |
| \$98,949,540 | \$43,582,909 | \$43,130,742 | \$99,401,707 |
| | 57,733 456,800 | 57,733 456,800 | |
| | \$43,068,376 | \$42,616,209 | |
| | | | |

Schedule A-Community Hospital-Medical Staff Group Practice Program*

| Bethesda Lutheran Hospital, St. Paul, Minnesota | \$ | 499,790 |
|--|----|---------|
| Crittenden Memorial Hospital, West Memphis, Arkansas | | 494,029 |
| Durham County Hospital Corporation, Durham, North Carolina | | 499,916 |
| Griffin Hospital, Derby, Connecticut | | 500,000 |
| Hadley Memorial Hospital, Washington, D.C. | | 457,006 |
| Hollywood Presbyterian Hospital—Olmsted Memorial, Los Angeles, California | | 499,981 |
| Holston Valley Community Hospital, Kingsport, Tennessee | 8 | 466,197 |
| Holy Cross Hospital, Salt Lake City, Utah | | 443,308 |
| Lakewood Hospital, Lakewood, Ohio | | 498,020 |
| Lovelace Center for the Health Sciences, Albuquerque, New Mexico | | 374,853 |
| Lutheran Charities Association of St. Louis, Missouri, St. Louis, Missouri | | 475,105 |
| Lutheran General and Deaconess Hospitals, Park Ridge, Illinois | | 500,000 |
| Lutheran Hospital and Medical Center, Wheat Ridge, Colorado | | 500,000 |
| Lutheran Hospital of Maryland, Inc., Baltimore County, Maryland | | 496,170 |
| The Memorial Hospital, Worcester, Massachusetts | | 475,000 |
| Memorial Hospital of Alamance County, Inc., Burlington, North Carolina | | 487,944 |
| Memorial Hospital of Phoenix, Phoenix, Arizona | | 498,942 |
| Mercy Hospital, Springfield, Massachusetts | | 490,000 |
| | | |

Additional grants will be made under this program in 1977.

| Mercy Hospital, Inc., Baltimore, Maryland | \$ | 499,985 |
|---|-----|-----------|
| Portland Adventist Hospital, Portland, Oregon | | 492,658 |
| Richmond Memorial Hospital, Richmond, Virginia | | 497,000 |
| St. Aloisius Hospital, Harvey, North Dakota | | 499,533 |
| St. Francis Hospital, Honolulu, Hawaii | | 491,030 |
| St. Francis Hospital, Topeka, Kansas | | 446,296 |
| St. Joseph Hospital, Lancaster, Pennsylvania | | 497,620 |
| St. Joseph's Hospital and Medical Center, Paterson, New Jersey | | 500,000 |
| St. Luke's Hospital, Aberdeen, South Dakota | | 498,169 |
| St. Margaret Memorial Hospital, Pittsburgh, Pennsylvania | | 401,944 |
| St. Vincent's Hospital, Billings, Montana | | 499,709 |
| Herbert J. Thomas Memorial Hospital Association, South Charleston, West Virginia | | 485,456 |
| Charles S. Wilson Memorial Hospital, Johnson City, New York | | 469,361 |
| | \$1 | 4,935,022 |

Schedule B-Medical Student Aid Program*

| University of Alabama, School of Medicine | \$ | 23,741 |
|---|----------|---------|
| Albany Medical College of Union University | 4 | 16,204 |
| University of Arizona, College of Medicine | | 17,819 |
| University of Arkansas, School of Medicine | | 33,215 |
| Baylor College of Medicine | | 23,633 |
| Boston University, School of Medicine | | 21,265 |
| The Bowman Gray School of Medicine of | | 21,200 |
| Wake Forest University | | 18,574 |
| Brown University, Division of Biological and Medical | Sciences | 6,918 |
| Case Western Reserve University, School of Medicine | | 21,372 |
| Chicago College of Osteopathic Medicine | | 15,558 |
| University of Health Sciences, The Chicago Medical S | chool | 14,051 |
| University of Chicago, The Division of the Biological | | 1 1,001 |
| Sciences and The Pritzker School of Medicine | | 21,157 |
| University of Cincinnati, College of Medicine | | 17,712 |
| College of Osteopathic Medicine and Surgery | | 17,067 |
| University of Colorado, School of Medicine | | 24,925 |
| Columbia University, College of Physicians and Surge | ons | 24,172 |
| University of Connecticut, School of Medicine | | 13,620 |
| Cornell University, Medical College | | 18,250 |
| Creighton University, School of Medicine | | 18,573 |
| Dartmouth Medical School | | 9,288 |
| Duke University, School of Medicine | | 21,589 |
| Albert Einstein College of Medicine of Yeshiva Unive | rsity | 20,297 |
| Emory University, School of Medicine | | 21,158 |
| University of Florida, College of Medicine | | 16,528 |
| Medical College of Georgia, School of Medicine | | 32,786 |
| George Washington University, School of Medicine an | d | , |
| Health Sciences | | 19,543 |
| Georgetown University, School of Medicine | | 21,158 |
| Hahnemann Medical College and Hospital | | 18,682 |
| Harvard Medical School | | 30,525 |
| | | |

^{*} Grants made under a one-year extension of this 1972 national program.

| University of Hawaii, John A. Burns School of Medicine | \$ | 8,103 |
|---|----|--------|
| Howard University, College of Medicine | | 47,428 |
| University of Illinois, College of Medicine | | 34,078 |
| Indiana University, School of Medicine | | 42,476 |
| The University of Iowa, College of Medicine | | 45,383 |
| Jefferson Medical College of Thomas Jefferson University | | 26,756 |
| The Johns Hopkins University, School of Medicine | | 22,127 |
| Kansas City College of Osteopathic Medicine | | 24,065 |
| University of Kansas, School of Medicine | | 32,570 |
| University of Kentucky, College of Medicine | | 28,587 |
| Kirksville College of Osteopathic Medicine | | 28,048 |
| Loma Linda University, School of Medicine | | 22,988 |
| Louisiana State University, School of Medicine, New Orleans | j. | 20,189 |
| Louisiana State University, School of Medicine, Shreveport | | 9,718 |
| University of Louisville, School of Medicine | | 27,941 |
| Loyola University of Chicago, Stritch School of Medicine | | 19,328 |
| University of Maryland, School of Medicine | | 22,235 |
| University of Massachusetts, Medical School | | 6,811 |
| Mayo Medical School | | 6,275 |
| Meharry Medical College, School of Medicine | | 40,430 |
| University of Miami, School of Medicine | | 18,466 |
| The University of Michigan, Medical School | | 34,939 |
| Michigan State University, College of Human Medicine | | 19,005 |
| Michigan State University, College of Osteopathic Medicine | | 7,027 |
| University of Minnesota, Duluth, School of Medicine | | 6,275 |
| University of Minnesota, Minneapolis, Medical School | | 46,244 |
| University of Mississippi, School of Medicine | | 35,477 |
| University of Missouri, Columbia, School of Medicine | | 24,711 |
| University of Missouri, Kansas City, School of Medicine | | 6,919 |
| Mount Sinai School of Medicine of the City University of | | |
| New York | | 15,666 |
| University of Nebraska, College of Medicine | | 36,877 |
| | | |

Schedule B-Medical Student Aid Program (Continued)

| University of Nevada, School of Medical Sciences | \$ | 7,027 |
|---|----|--------|
| College of Medicine and Dentistry of New Jersey, | | |
| New Jersey Medical School | | 62,060 |
| College of Medicine and Dentistry of New Jersey, | | |
| Rutgers Medical School | | 35,047 |
| The University of New Mexico, School of Medicine | | 21,373 |
| New York Medical College | | 18,466 |
| New York University, School of Medicine | | 23,096 |
| State University of New York at Buffalo, School of Medicine | 18 | 21,373 |
| State University of New York, Downstate Medical Center, | | |
| College of Medicine | | 25,680 |
| State University of New York at Stony Brook, Health Sciences | | |
| Center, School of Medicine | | 6,811 |
| State University of New York, Upstate Medical Center, | | |
| College of Medicine | | 19,005 |
| University of North Carolina, School of Medicine | | 23,742 |
| University of North Dakota, School of Medicine | | 13,379 |
| Northwestern University, Medical School | | 27,510 |
| Medical College of Ohio at Toledo | | 14,698 |
| The Ohio State University, College of Medicine | | 25,249 |
| University of Oklahoma, College of Medicine | | 29,879 |
| University of Oregon, Medical School | | 22,450 |
| The Medical College of Pennsylvania | | 37,415 |
| The Pennsylvania State University, College of Medicine | | 15,775 |
| The University of Pennsylvania, School of Medicine | | 21,050 |
| Philadelphia College of Osteopathic Medicine | | 15,882 |
| University of Pittsburgh, School of Medicine | | 21,589 |
| University of Puerto Rico, School of Medicine | | 27,187 |
| The University of Rochester, School of Medicine and Dentistr | y | 17,390 |
| Rush Medical College | | 8,534 |
| Saint Louis University, School of Medicine | | 19,866 |
| University of South Alabama, College of Medicine | | 6,275 |
| Medical University of South Carolina, College of Medicine | | 27,295 |
| (Barrier 1803) 이 - (Barrier 1803) (17) - (Barrier 1903) (1804) (1805) (1804) (1805) (1805) (1805) (1805) (1 | | |

| | 220 | *012112121212 |
|---|-----|---------------|
| The University of South Dakota, School of Medicine | \$ | 13,056 |
| University of South Florida, College of Medicine | | 6,273 |
| University of Southern California, School of Medicine | | 21,050 |
| Southern Illinois University, School of Medicine | | 6,275 |
| Stanford University, School of Medicine | | 22,342 |
| Temple University, School of Medicine | | 22,773 |
| The University of Tennessee, College of Medicine | | 29,125 |
| Texas College of Osteopathic Medicine | | 6,811 |
| The University of Texas Health Science Center at Dallas, Southwestern Medical School | | 23,742 |
| The University of Texas Medical Branch at Galveston, Medical School | | 32,570 |
| The University of Texas Health Science Center at Houston, Medical School | | 7,242 |
| The University of Texas Health Science Center at | | |
| San Antonio, Medical School | | 26,541 |
| Texas Tech University, School of Medicine | | 6,275 |
| Tufts University, School of Medicine | | 24,388 |
| Tulane University, School of Medicine | | 25,895 |
| University of Utah, College of Medicine | | 19,220 |
| Vanderbilt University, School of Medicine | | 18,036 |
| The University of Vermont, College of Medicine | | 20,297 |
| Medical College of Virginia of Virginia Commonwealth | | |
| University, School of Medicine | | 25,572 |
| University of Virginia, School of Medicine | | 22,558 |
| Washington University, School of Medicine | | 24,065 |
| University of Washington, School of Medicine | | 24,496 |
| Wayne State University, School of Medicine | | 25,572 |
| West Virginia University, School of Medicine | | 21,912 |
| University of Wisconsin, Madison, School of Medicine | | 26,003 |
| The Medical College of Wisconsin | | 17,282 |
| Yale University, School of Medicine | | 19,004 |
| | \$2 | 2,500,000 |
| | | |

Schedule C-Nursing Faculty Fellowships Program

| University of Colorado Medical Center, School of Nursing, Denver, Colorado | \$ | 675,000 |
|--|-----|-----------|
| Indiana University Foundation, Indianapolis, Indiana | ф | 675,000 |
| University of Maryland, School of Nursing, Baltimore, Maryland | | 675,000 |
| University of Rochester, School of Nursing, Rochester, New York | | 665,054 |
| Vanderbilt University, School of Nursing, Nashville, Tennessee, Administration of the Program | | 89,200 |
| Balance of appropriation | | 220,746 |
| | \$3 | 3,000,000 |
| | | |

Schedule D-Rural Practice Project

| Northeast Washington County Community Health, Inc., Plainfield, Vermont | \$ | 403,682 |
|--|-----|-----------|
| Palmetto Family Health Care Center, Inc., Pacolet, South Carolina | | 394,075 |
| Southern Indiana Community Health Care, Inc., Paoli, Indiana | | 398,932 |
| Balance of appropriation | 1 | 0,803,311 |
| 227 - 53 | \$1 | 2,000,000 |
| | | |

Secretary's report

On March 25, 1976, Edward R. Eberle was elected to the Board of Trustees of the Foundation to replace the Honorable DuBois S. Thompson, who had become a Trustee Emeritus. Mr. Eberle is a director of Public Service Electric and Gas Company of New Jersey, and recently retired as Chief Executive Officer and Chairman of the Board after 42 years of service with the public utility. Mr. Eberle has served as Chairman of the New Jersey Governor's Economic Recovery Commission, Chairman of the Newark Chamber of Commerce, and is a trustee and director of a number of corporations and institutions.

Staff changes

John L. Simon and Andrew M. Kulley have joined the Foundation staff as Program Officers. Mr. Simon was previously Director of Operations for the Georgetown University Community Health Plan and an instructor at Georgetown's School of Medicine. He is a graduate of the University of Rochester and received a master's degree in public health from the Sloan Institute of Health Services Administration at Cornell University.

Dr. Kulley came to the staff in early 1977 from the National Center for Health Services Research in Rockville, Maryland. Prior to that he served as Assistant Professor in the Department of Sociology and Anthropology at Purdue University. He is a graduate of Gettysburg College and received his master's and doctorate of philosophy degrees from Purdue University.

Catherine E. McDermott joined the Foundation in 1976 as Director of Personnel. Ms. McDermott previously served as Personnel Director and Office Manager of the Carnegie Corporation of New York. She obtained her B.A. degree from the College of Mount Saint Vincent in New York City.

Ingeborg G. Mauksch joined the Foundation as a Senior Program Consultant and is directing the Foundation's Nurse Faculty Fellowship Program. Dr. Mauksch is the Valere Potter Distinguished Professor of Nursing and Family Nurse Clinician at Vanderbilt University. She received her diploma in nursing from Massachusetts General Hospital, Boston, and is a graduate of Teachers College, Columbia University,

^{*}To present as up-to-date a picture of staffing as possible, this report covers the period through February 15, 1977.

New York. Dr. Mauksch received her master's and doctorate of

philosophy degrees from the University of Chicago.

David L. Cusic and Deborah A. Freund left the Foundation staff in the summer of 1976. Mr. Cusic assumed faculty responsibilities at Duke University and is pursuing studies leading toward a doctoral degree at the University of North Carolina. Mr. Cusic came to the Foundation in 1973 as a Program Officer and was actively involved in many of the Foundation's projects. Ms. Freund arrived at the Foundation in 1975 as a Program Assistant and was primarily engaged in research and evaluation activities. She resigned this position to return to the University of Michigan where she is on the faculty and is completing her studies leading to a doctorate of philosophy degree.

In January 1977, John L. Dugan, Jr., resigned his position as Director of Administrative Services to become Executive Vice President of the American Diabetes Association in New York City. Mr. Dugan joined the staff in 1975 and was actively involved in the administrative

activities of the Foundation.

In November 1976, Marshall V. Rozzi resigned as Senior Program Consultant to devote full time to his position as Associate Administrator for Program Development at the C. S. Wilson Memorial

Hospital, Johnson City (Binghamton), New York.

In February 1977, Dr. Irwin R. Merkatz concluded his assignment with the Foundation to resume full-time administrative and teaching duties at Case Western Reserve School of Medicine. Dr. Merkatz came to the Foundation in 1974 as Senior Program Consultant and served as coordinator of the Foundation's program of regionalized networks for perinatal care.

Board activities

During the calendar year of 1976 the Board of Trustees met seven times to conduct business, review proposals, and appropriate funds for the implementation of new programs. In addition, the Policy, Finance, Audit, Building, and Nominations Committees met as required to consider and prepare recommendations to the Board.

> J. Warren Wood, III Secretary

Application for grants

The Robert Wood Johnson Foundation is a private philanthropy interested in improving health in the United States. It is concentrating its resources on a few well defined needs in health: the need to improve access to health care; the need to improve the performance of health care services in order to ensure quality care; and the need to develop mechanisms for the objective analysis of public policies in health.

The Foundation will encourage and support only those projects and programs which show promise of having significant regional and national impact, with one exception, which will be local projects in the New Brunswick, New Jersey area, where the Foundation was established.

The initial policy guidelines that have been established by the Foundation's board of trustees will normally preclude support for the following types of activities:

- 1. Endowment, construction, equipment, or general operating expenses.
- Biomedical research.
- 3. International activities or programs and institutions in other countries.
- Direct support to individuals.

Also, the Foundation will not be able to support programs concerned with a particular disease or with broad public health problems such as drug abuse, alcoholism, mental health, population dynamics, the effects of environmental contamination on health, or the care of the aged. The Foundation's inability to support such programs in no way implies a failure to recognize their importance, but is simply a consequence of the conviction that to make significant progress in the three problem areas described will depend in large measure on the Foundation's ability to concentrate its resources on them.

There are no formal grant application forms. Applicants should prepare a letter which states briefly and concisely the objectives and significance of the project, the program design, the qualifications of the organization and the individuals concerned, the mechanisms for evaluating results, and a budget. This letter should be accompanied by a copy of the applicant institution's tax exempt status under the Internal Revenue Code. Ordinarily, preference will be given to organizations which have qualified for exemption under Section 501(c) (3) of the Internal Revenue Code, and which are not "private foundations" as defined under Section 509(a). Public instrumentalities performing similar functions are also eligible.

Proposal letters should be addressed to:

Miss Margaret E. Mahoney, Vice President The Robert Wood Johnson Foundation P.O. Box 2316 Princeton, New Jersey 08540

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